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260 1 RESUMO GERAL

261 A presente tese teve por objetivo otimizar a produção de concentrados da microalga 262 Nannochloropsis oceanica. O trabalho foi dividido em três capítulos. No primeiro 263 capítulo foi determinada a influência da presença de bactérias e matéria orgânica no 264 aparecimento de DMS ao longo da vida útil dos concentrados. Para isso, foi analisada a 265 vida útil em duas temperaturas de armazenamento (24 e -4°C) de dois concentrados, um 266 controle e um concentrado que passou pelo processo de lavagem. O processo de lavagem 267 conseguiu estender consideravelmente a vida útil dos concentrados de 17 para 85 dias em 268 -4°C. O segundo capítulo comparou o uso do skimmer e do processo de lavagem como 269 formas de minimizar a densidade bacteriana nos concentrados ao longo do tempo. Foram 270 testados quatro tratamentos mais um controle, além dos tratamentos com skimmer e 271 lavagem, foi testada a combinação entre os dois tratamentos e uma variação do processo 272 de lavagem. Os tratamentos que apresentaram os melhores resultados foram a 273 combinação dos dois métodos (skimmer + lavagem) e o processo de lavagem repetido 274 mais vezes. As duas variações do processo de lavagem foram estatisticamente diferentes. 275 No terceiro capítulo, o processo de lavagem foi otimizado para produzir concentrados 276 visando aumentar a eficiência de remoção de bactérias sem influenciar na viabilidade das 277 células e melhor a relação benefício-custo do processo para torná-lo viável. Para viabilizar 278 o processo foi necessário aumentar o preço de venda do concentrado em 10%. A 279 combinação que gerou o melhor resultado foi repetir o processo 5 vezes em 3,405 de força 280 g, em 24 °C por 20 minutos.

282 **2 ABSTRACT**

283 This thesis aimed to optimize the production of concentrates from the microalgae 284 Nannochloropsis oceanica. The work was divided into three chapters. The first chapter 285 determined the influence of the presence of bacteria and organic matter on the appearance 286 of DMS throughout the shelf life of the concentrates. For this purpose, the shelf life at 287 two storage temperatures (24 and -4°C) of two concentrates was analyzed, a control and 288 a concentrate that underwent the washing process. The washing process managed to 289 considerably extend the shelf life of the concentrates from 17 to 85 days at -4°C. The 290 second chapter compared the use of the skimmer and the washing process as ways to 291 minimize bacterial density in the concentrates over time. Four treatments plus a control 292 were tested. In addition to the treatments with skimmer and washing, the combination of 293 the two treatments and a variation of the washing process was tested. The treatments that 294 presented the best results were the combination of the two methods (skimmer + washing) 295 and the washing process repeated more often. The two variations of the washing process 296 were statistically different. In the third chapter, the washing process was optimized to 297 produce concentrates, aiming to increase the efficiency of bacteria removal without 298 affecting cell viability and improve the benefit-cost ratio of the process to make it viable. 299 To make the process viable, it was necessary to increase the sale price of the concentrate 300 by 10%. The combination that generated the best result was to repeat the process 5 times 301 at 3.405 g-force, at 24 °C for 20 minutes.

303 3 INTRODUÇÃO GERAL

304 3.1 Microalgas

305 Alga é um termo utilizado para designar organismos aquáticos autotróficos 306 unicelulares, coloniais ou filamentosos. Esse termo não apresenta valortaxonômico, 307 descrevendo organismos muito diferentes pertencentes aos reinos Bacteria, Plantae, 308 Chromista e Protozoa com cerca de 72.500 espécies descritas, as quais podem ser 309 classificadas como macroalgas ou microalgas (Guiry, 2012). As microalgas são 310 organismos unicelulares fotossintetizantes que possuem clorofila a que podem ser 311 procariontes ou eucariontes. São os principais responsáveis pela produção primária nos 312 ambientes aquáticos e a base da cadeia trófica nesses ambientes (Riviers, 2006). Apesar 313 de mais abundantes em ambientes marinhos, habitam praticamente todos os ambientes 314 existentes incluindo ambientes extremos como fontes termais e calotas polares (Riviers, 315 2006).

316 As microalgas são uma fonte promissora de matéria-prima renovável para produtos 317 de alto valor agregado em diferentes ramos da indústria, como alimentos, energia, 318 cosméticos e fertilizantes (Patil et al., 2020). Devido a sua diversidade taxonômica, 319 possuem uma grande variação no seu conteúdo de proteínas, cinzas, lipídios e ácidos 320 graxos (Moura Junior et al., 2007). Enquanto as microalgas marinhas são as principais 321 produtoras de ácidos graxos poliinsaturados de cadeia longa (LC-PUFA) de extrema 322 importância como os ácidos araquidônico (AA - C20:4), eicosapentaenóico (EPA - C20:5) 323 e docosahexanóico (DHA - C22:6), as microalgas de água doce produzem 324 predominantemente ácidos graxos saturados ou monossaturados (Patil et al., 2007). Além disso, são importantes fontes de compostos bioativos, compostos antioxidantes e 325 326 vitaminas (Borowitzka, 2013).

327 A composição das microalgas depende das condições de cultivo, como variação de 328 luz, temperatura e a concentração de nutrientes (Niccolai et al., 2019; Thompson, 1999). 329 Assim, a seleção da espécie e do método deve estar de acordo com o propósito da 330 biomassa produzida, por exemplo, uso como alimento na aquicultura, extração de 331 compostos de interesse para a indústria, tratamento de águas residuais e sequestro de CO₂ 332 (Patil et al., 2020). Atualmente, um novo conceito tem sido amplamente abordado na 333 produção das microalgas, a biorrefinaria. Conceito que propõe a remediação de resíduos 334 como o uso de efluentes e sequestro de CO2 no cultivo e o total aproveitamento da biomassa para que cada componente das microalgas seja extraído, processado e
valorizado (Patil et al., 2020).

337

338 **3.2** Nannochloropsis oceanica

As Eustigmatophyceaes são algas unicelulares com cerca de 30 espécies descritas. Produzem apenas clorofila *a* e são geralmente esféricas. Embora essa classe seja predominantemente composta por microalgas de água doce, possui representantes de ambientes extremos, de água salobra e salgada (Elias et al., 2016). Um dos gêneros mais importantes para a indústria é o *Nannochloropsis*, principalmente por produzir lipídios neutros (TAG) e LC-PUFAs, especialmente EPA (Ma et al., 2016).

345 A Nannochloropsis oceanica (Figura 1) é uma espécie de microalga que apresenta 346 um diâmetro de 2 a 8 µm e possui uma rápida taxa de crescimento. Além disso, é tolerante às mais diversas variações de luz, temperatura, pH e nutrientes (Wang et al., 2014). 347 348 Dependendo das condições de cultivo, essas microalgas podem acumular até 60% de 349 lipídios em relação a sua biomassa seca com altos teores de ácidos graxos saturados, o 350 que as torna ainda uma ótima opção para a produção de biocombustível (Bi & He, 2020; 351 Islam et al., 2017; Ma et al., 2016). Na aquicultura, são usadas principalmente na 352 alimentação direta e indireta de larvas de peixes e crustáceos, no cultivo de bivalves, 353 como forma de suplementação de alimentos vivos como rotíferos e artêmia e como 354 substitutos parciais da farinha de peixe nas rações (Knutsen et al., 2019; Ludwig et al., 355 2008; Sales et al., 2016; Serrano et al., 2021; Sirakov et al., 2015).



Figura 1: Nannochloropsis oceanica. Fonte: Arquivo AlgaSul



359

3.3 Cultivo de microalgas em grande escala

360 Em termos de utilização de área e velocidade de crescimento, as microalgas 361 apresentam uma alta produtividade quando comparadas a outras matérias primas 362 (Borowitzka, 2013). A única forma viável de obtenção de biomassa de microalgas é 363 através do cultivo em larga escala, já que são necessários grandes volumes de cultivo para 364 produzir uma pequena quantidade de biomassa (Camacho-Rodríguez et al., 2013; Roselet 365 et al., 2017, 2013). De maneira geral, a produtividade vai depender do quão próximas as 366 condições correspondem ao requerido pela espécie alvo. Diferente do que é observado 367 em pequenos volumes de cultivo (10 mL - 1 L), a produtividade das microalgas em larga 368 escala é influenciada por fatores que não são relevantes em pequena escala. Por exemplo, 369 distribuição da luz, mistura, trocas gasosas, acúmulo de compostos produzidos pelas 370 microalgas e contaminação por outras microalgas, zooplâncton e bactérias (Jerney and 371 Spilling, 2020; Kumar et al., 2013).

372 Há duas estratégias que podem ser abordadas para cultivar microalgas em grande 373 escala: cultivo aberto e fechado. A principal diferença entre esses dois tipos de sistema 374 está relacionada com a forma que eles operam, vulnerabilidade à influência externa e 375 custos para construir e operar o sistema (Patil et al., 2020). Independentemente do tipo, o 376 sistema precisa prover luz o suficiente e mistura para evitar gradientes nos sistemas 377 (Posten & Walter, 2012). Os raceways e tanques circulares (Figura 2a e b) são exemplos 378 de sistemas abertos que são amplamente utilizados na produção de microalgas como a Arthrospira platenses, já os Fotobiorreatores (PBR - Figura 2c) são estruturas de cultivo 379 380 fechado construídos de forma que sua geometria permita um melhor aproveitamento de 381 luz e espaço podendo apresentar diferentes formatos tubulares ou em placas (Merchuk, 382 2020; Zeriouh et al., 2017). Para aplicações comerciais, os sistemas abertos são os mais 383 utilizados, pois além de economicamente eficientes são mais fáceis de manter 384 (Stephenson et al., 2010). Os sistemas fechados por sua vez necessitam de mais 385 manutenção e são muito mais caros. No entanto, garantem um maior controle das 386 condições ambientais e da entrada de organismos invasores (Roselet et al., 2013).



Figura 2: Exemplos de sistemas de cultivo de microalgas. Sistema aberto: (a) Tanque circular e (b)
Raceways e sistema fechado: (c) Fotobioreatores. Fonte: Arquivo AlgaSul

390 Cultivar microalgas em larga escala é uma atividade desafiadora. A disponibilidade 391 de luz e a temperatura são considerados os principais fatores que podem afetar a 392 lucratividade do sistema(Jerney and Spilling, 2020). Em sistemas de cultivo outdoor, a 393 temperatura e a incidência luminosa dependem da condição do próprio ambiente, gerando 394 grandes variações nesses parâmetros, o que pode diminuir consideravelmente a 395 produtividade de sistema (López-Elías et al., 2005; Roselet et al., 2013). Esses fatores são 396 dependentes tanto de fatores ambientais quanto da própria geografia do local. Já em 397 cultivos indoor, essas condições são controladas para aumentar a produtividade (Jerney 398 and Spilling, 2020). Assim, embora esse sistema aumente consideravelmente a 399 quantidade de biomassa produzida, os custos de produção muitas vezes inviabilizam 400 financeiramente a produção da microalga.

401

402 **3.4** Presença de bactérias nos cultivos em larga escala

403 Além dos problemas associados aos custos e as condições, as contaminações 404 impactam diretamente na produtividade dos cultivos, mesmo em sistemas fechados. O 405 que faz com que mesmo após a aplicação de protocolos de desinfecção das estruturas, 406 materiais, água e até do ar, a produção industrial de microalgas seja conduzida em 407 condições não axênicas (Giraldo et al., 2019). A comunidade microbiana é altamente 408 dinâmica, dependendo da fase de crescimento e das condições externas (Giraldo et al., 409 2019). Além de outras espécies de microalgas e zooplâncton, as bactérias são as principais 410 fontes de contaminação nos cultivos. Essas bactérias em associação com as microalgas 411 podem induzir a formação de biofilme e bioincrustação nas paredes dos reatores, 412 atrapalhando a penetração de luz, e assim, diminuindo a produtividade e aumentando os 413 custos de produção (Giraldo et al., 2019; Zeriouh et al., 2017). Além disso, as bactérias 414 presentes nesse tipo de sistema usam compostos produzidos pelas microalgas para o seu 415 próprio metabolismo, o que pode piorar a qualidade da biomassa produzida e aumentar a 416 produção de matéria orgânica (Roselet et al., 2019). O ciclo do enxofre é um exemplo 417 desse tipo de interação, que tem início na produção do dimetilsulfoniopropionato 418 (DMSP), um composto organossulfurado produzido por microalgas marinhas (Charlson 419 et al., 1987a). Na célula, desempenha um importante papel de osmólito, crioprotetor, 420 antioxidante e dissuasor de pastoreio (Bates et al., 1987; Charlson et al., 1987a; Raina et 421 al., 2010; Sunda et al., 2002; X.-H. Zhang et al., 2019). Pode ser liberado no meio 422 ambiente por meio de ataques virais e bacterianos à célula, predação, exsudação ou 423 autólise celular. Uma vez liberado, o DMSP pode ser assimilado por outras microalgas ou 424 por bactérias que possuem a enzima DMSP liase, responsável por assimilar carbono e 425 consequentemente liberar dimetilsulfeto (DMS) no meio ambiente (Charlson et al., 426 1987a, 1987b). Assim como o DMSP, o DMS é um composto organossulfurado, porém é 427 volátil e possui baixo limiar de odor, mesmo em baixas concentrações, sua presença exala 428 um odor forte semelhante ao cheiro de ovo podre (Charlson et al., 1987a). Na indústria, 429 esse composto é extremamente indesejado. Além do forte cheiro de enxofre, em altas 430 concentrações, o DMS pode causar dores de cabeça, congestão pulmonar, irritação 431 cutânea e respiratória, vômitos, asfixia e, em casos extremos, pode levar à morte (Bouillon 432 and Miller, 2005). Em culturas de microalgas, o DMS está presente em uma concentração 433 tão baixa que não é detectado pelos métodos de quantificação (Liu et al., 2014; Yao et al., 434 2019).

435 Embora muitos trabalhos avaliem a interação entre as bactérias e microalgas nos 436 cultivos (Berthold et al., 2019; Fulbright et al., 2018; Giroldo et al., 2007; Natrah et al., 437 2014), há poucos trabalhos que buscam formas de remediar esse problema como o 438 controle do pH, limitação de nutrientes ou pela retirada da matéria orgânica do cultivo 439 (Pleissner et al., 2020; Roselet et al., 2019). Na aquicultura, o fracionador de espuma 440 (Skimmer) é amplamente utilizado em combinação com outros métodos para a remoção 441 de matéria orgânica dissolvida e de partículas finas em sistemas de recirculação (Buckley 442 et al., 2022; Gregersen et al., 2021; Kovács et al., 2023; Samocha, 2019), onde há pouca 443 ou nenhuma troca de água (Ray et al., 2017). Seu funcionamento é dependente 444 principalmente da presença de surfactantes, substâncias que podem ser produzidas pelas

445 microalgas (Garrett et al., 2008). Embora muitos estudos avaliem o uso do skimmer na 446 produção de peixes e camarões, pouco se sabe sobre o uso do skimmer no cultivo das 447 microalgas e o seu papel na remoção de matéria orgânica e de bactérias. M. Roselet et al. 448 (2019) avaliou o efeito do skimmer (Figura 3) na remoção de matéria orgânica de um 449 cultivo no fim da fase exponencial, e concluiu que o skimmer é uma forma eficiente de 450 remoção de matéria orgânica. Não há trabalhos que avaliem o efeito do skimmer na 451 remoção das bactérias que podem se aderir à matéria orgânica. Além de diminuir a 452 produtividade do sistema, a presença de bactérias e matéria orgânica pode ainda 453 influenciar na qualidade final da biomassa e atrapalhar na coleta (Roselet et al., 2019). O 454 que para propósitos comerciais está distante do ideal, já que a coleta pode representar 455 mais da metade do custo total de produção (Borowitzka, 2013).



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Figura 3: Skimmer utilizado em cultivos de microalgas. Fonte: Arquivo AlgaSul.

458

459 3.5 Formas de colheita e comercialização

Existem diferentes métodos de colheita das microalgas, os mais utilizados são a centrifugação, sedimentação e floculação (Esteves et al., 2020). No entanto, não existe um método universal. A forma de colheita vai depender do tamanho da célula, densidade, propriedade da superfície celular e aplicação final da biomassa (Patil et al., 2020). O método de coleta de escolha precisa ter uma alta eficiência para que não tenham perdas de biomassa e econômicas (Esteves et al., 2020). Após coletada, a biomassa pode ser 466 comercializada de diferentes formas, seca, liofilizada ou em pastas dependendo da467 finalidade (Borowitzka, 2013).

468 A liofilização, processo que consiste na retirada de praticamente toda a água da 469 célula por formação de vácuo em ambiente hermético, resulta em uma biomassa com 470 pouca água, impossibilitando a ação bacteriana (Lee et al., 2013; Ryckebosch et al., 471 2012). Normalmente esse tipo de biomassa possui uma vida útil bem mais extensa, e 472 dispensa o uso de armazenamento frio (Ryckebosch et al., 2012). No entanto, apesar de 473 muito utilizada na produção de biomassa para análises laboratoriais (D'Ippolito et al., 474 2015; Vella et al., 2019), geralmente os custos dos equipamentos e consequentemente da produção da biomassa liofilizada (Figura 5) são tão altos que inviabilizam sua 475 476 comercialização em grande escala e as microalgas acabam sendo comercializadas na 477 forma de biomassa úmida (pastas e concentrados).



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Figura 4: Biomassa liofilizada de diferentes espécies de microalgas. Fonte: Arquivo AlgaSul

480 No geral, as pastas e concentrados de microalgas (Figura 5) são utilizados como 481 suplemento proteico na alimentação animal e na indústria aquícola para a alimentação de 482 larvas de peixes, camarões e em todo o ciclo de produção de moluscos bivalves 483 (Borowitzka, 2013, 1997). Diferente das biomassas liofilizadas, as pastas concentradas 484 de microalgas necessitam ser conservadas sob condições especiais, pois quando mal 485 armazenadas, ou guardadas por um longo tempo, podem sofrer perdas progressivas na 486 sua qualidade nutricional, principalmente devido a lise e degradação das células que 487 podem ocorrer pela ação microbiana ou pela formação de cristais de gelo no interior das 488 células (Chellappan et al., 2020; Heasman et al., 2000).



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Figura 5: Concentrados de Nannochloropsis oceanica. Fonte: Arquivo AlgaSul

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492 **3.6** Vida útil dos concentrados

493 No geral, as proteínas e os lipídios são os componentes mais afetados ao longo do 494 tempo (Heasman et al., 2000; Ponis et al., 2008; Welladsen et al., 2014), entretanto, de 495 formas diferentes. Enquanto a degradação proteica acontece de forma mais lenta, a 496 degradação dos lipídios é bem mais rápida (Welladsen et al., 2014). Os pigmentos também 497 são componentes extremamente afetados pela degradação das células (Lorenzen, 1967). 498 Um dos principais efeitos é a mudança na coloração da biomassa que está diretamente 499 associada à degradação das clorofilas em feopigmentos como feofitinas e feoforbideos 500 (Louda et al., 2011). Embora os fatores que causam a perda da qualidade serem comuns 501 para todas as biomassas de microalgas, a forma como as estruturas celulares são afetadas 502 muda de acordo com a diferenciação celular de cada espécie e com a forma que a 503 biomassa é armazenada (Heasman et al., 2000; Welladsen et al., 2014).

504 Na indústria alimentícia, dois métodos são principalmente utilizados para aumentar 505 a vida útil de concentrados de microalgas: o armazenamento em temperaturas controladas 506 e o uso de conservantes (Welladsen et al., 2014). São considerados conservantes aditivos 507 alimentares não tóxicos, como antioxidantes, ácidos alimentares e vitaminas que 508 previnem a oxidação, inibem a autólise e decomposição microbiana, como o ácido 509 ascórbico que se enquadra nestas três categorias (Heasman et al., 2000; Sales and Souza-510 santos, 2020) e os crioprotetores que são aditivos que evitam a formação de gelo no 511 interior da célula (Chellappan et al., 2020; Heasman et al., 2000).

512 Embora alguns trabalhos avaliem o efeito combinado da adição de conservante com 513 o armazenamento frio (Heasman et al., 2000; Ponis et al., 2008), o armazenamento em 514 baixas temperaturas ainda é preferível, pois além de mais simples, não adiciona elementos 515 que podem modificar a biomassa, apresenta menor custo e não necessita de mão de obra 516 especializada (Chellappan et al., 2020; Heasman et al., 2000; Sales and Souza-santos, 517 2020). Nesse tipo de armazenamento, dois fatores são essenciais para a conservação da 518 biomassa concentrada: o tempo de estocagem e a temperatura de armazenamento que 519 deve ser ideal para cada espécie (Heasman et al., 2000). Enquanto temperaturas muito 520 mais baixas podem danificar as células, temperaturas muito altas podem estimular a ação 521 bacteriana, provocando a degradação química das células (Welladsen et al., 2014).

522 Avaliar a vida útil de um concentrado permite determinar por quanto tempo esse 523 concentrado pode permanecer na temperatura de armazenamento sem que haja mudanças 524 na sua composição (Heasman et al., 2000). Trabalhos anteriores determinaram que a vida 525 útil dos concentrados de Nannochloropsis sp. é de 3 a 4 meses em 4°C, temperatura de 526 refrigeração (Chellappan et al., 2020; Sales and Souza-santos, 2020; Verspreet et al., 527 2020). Embora essa microalga possua um alto percentual de lipídios e esteja mais sujeita 528 a processos de rápida degradação como a lipólise e oxidação (Beacham et al., 2014; Ma 529 et al., 2016), sua estrutura extremamente rígida consiste de duas camadas poliméricas 530 compostas de celulose e algaenan, tornando essa microalga extremamente resistente e 531 estável (Scholz et al., 2014), permanecendo intacta mesmo após a aplicação de uma 532 grande quantidade de força mecânica (Bernaerts et al., 2019).

533 Apesar de a estabilidade na composição da Nannochloropsis sp. a tornar uma 534 espécie ideal para a produção de concentrados (Chellappan et al., 2020; Heasman et al., 535 2000; Welladsen et al., 2014), outros fatores precisam ser levados em consideração para 536 avaliar a vida útil de concentrados. Embora as microalgas tenham seu metabolismo 537 reduzido quando armazenadas em baixas temperaturas, este continua ativo gerando 538 produtos como os compostos orgânicos voláteis (VOC) e substâncias poliméricas 539 extracelulares (EPS)(Coleman et al., 2023). Além disso, quando concentramos as 540 microalgas, todos os organismos presentes são concentrados. O que, dependendo das 541 condições do cultivo, pode apresentar contaminação de outras microalgas e 542 principalmente de bactérias (Giraldo et al., 2019). Essas bactérias podem competir por 543 nutrientes com as microalgas ou podem utilizar de substâncias excretadas pelas 544 microalgas (EPS) para se desenvolverem (Garrett et al., 2008; Giraldo et al., 2019;

545 Giroldo et al., 2007), como é o caso das bactérias que utilizam DMSP e produzem DMS
546 (Vila-Costa et al., 2014; X.-H. Zhang et al., 2019; X. H. Zhang et al., 2019).

547 Apesar da vasta literatura apontar a presença de DMS em ambientes naturais (Asher 548 et al., 2017; Bates et al., 1987; Charlson et al., 1992, 1987a), existem poucos estudos que 549 avaliam sua presença em culturas e concentrados de microalgas e associam esse 550 aparecimento a outros fatores como a presença de matéria orgânica e bactérias. Como o 551 DMS é um composto volátil, é liberado durante os processos de colheita da microalga. 552 No entanto, como o seu aparecimento é progressivo, a remoção de DMS do produto 553 inicial não garante que a sua produção será cessada. Para isso é necessário avaliar quais 554 são os fatores que influenciam no seu aparecimento em concentrados de N. oceanica e 555 determinar a vida útil desses concentrados.

556 De um ponto de vista industrial, a otimização de processos é fundamental para 557 garantir a eficácia e viabilidade econômica na formulação de um novo produto. Um fator 558 crucial a considerar é a vida útil do produto, que influencia diretamente na satisfação do 559 consumidor e na gestão do estoque. Ao desenvolver um produto com uma vida útil 560 prolongada é possível reduzir custos associados ao desperdício e armazenamento. Além 561 disso, o conceito de TRL (Technology Readiness Level) ajuda a avaliar em que estágio 562 de desenvolvimento a tecnologias se encontra (Figura 6), permitindo uma alocação mais 563 eficiente de recursos e esforços nas fases de concepção e produção. O objetivo primordial 564 é atingir um produto finalizado que não apenas atenda às necessidades do mercado, mas 565 também seja otimizado em termos de qualidade e custo, garantindo assim um retorno 566 sobre o investimento mais elevado e a sustentabilidade do produto a longo prazo. No caso 567 dos concentrados, para aumentar o TRL foi primeiro determinada a relação entre a vida 568 útil e as concentrações de bactérias, matéria orgânica e DMS. Além disso, foram 569 comparadas formas de diminuir essas concentrações e por fim o método que apresentou 570 o melhor resultado foi otimizado e foi feita uma análise de custo.



572 Figura 6: Níveis de maturidade tecnológica na inovação. Fonte: https://biominas.org.br/blog/technology573 readiness-level-trl/

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818 4 OBJETIVO GERAL

819 Otimizar a produção de concentrados da microalga Nannochloropsis oceanica.

820 5 OBJETIVOS ESPECÍFICOS

Determinar a influência da presença de bactérias e matéria orgânica no
 aparecimento de DMS ao longo da vida útil de concentrados de *N. oceanica;*

- Testar o uso do *skimmer* e do método de lavagem para minimizar bactérias em
 concentrados de *N. oceanica;*
- Otimizar o processo de lavagem na produção de concentrados de *N. oceanica* visando diminuir a concentração de bactérias e melhorar a relação benefício-custo do
 processo, preservando a integridade celular.

| 829 | 6 CAPÍTULO I |
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| 833 | Effect of removing bacteria and dissolved organic matter on DMS production in |
| 834 | Nannochloropsis oceanica concentrates |
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| 836 | |
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| 851 | † - In memoriam |
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856 Abstract

The aim of this study was to determine the influence of bacteria and organic matter on 857 858 the occurrence of dimethyl sulfide (DMS) over the shelf life of Nannochloropsis oceanica 859 concentrates. Two concentrates were compared: control treatment, composed of biomass 860 collected by centrifugation and diluted to a cell density of 5×109 cells·mL-1, and a 861 washed concentrate treatment, where the biomass underwent successive centrifugations 862 to remove dissolved organic matter (DOM) and bacteria before being diluted to a cell 863 density of 5×109 cells mL-1. Both concentrates were stored at two temperatures: 23°C 864 for 10 days and 4°C for 85 days. Cellular viability, bacterial density, DOM, and DMS 865 concentration were assessed. The washing process was responsible for removing 866 approximately 84% of the bacterial load from the concentrates. This significant reduction 867 played a crucial role in decreasing the concentrations of DOM and DMS over the storage 868 period. Furthermore, the process did not impair the cellular viability of microalgae. The 869 findings underscore the importance of carefully managing bacterial and organic 870 components in microalgal concentrates, especially when considering the preservation of 871 freshness and cellular viability, which are key factors in applications such as larviculture.

872

873 Keywords: Storage time; Storage temperature; DMSP; Cell Viability; DOM

874

875 6.1 Introduction

876 Microalgae are extremely important in aquaculture as a source of high nutritional quality 877 food. They are ideal for feeding bivalves, larvae of fish, crustaceans, and as a way of 878 enriching artemia and rotifers (Sales et al., 2019; Sorgeloos and Lavens, 1996). 879 Microalgae production requires high cost, a suitable location, specialized labor, and strict 880 control against contamination (Fernández et al., 2020). For this reason, the production of microalgae in aquaculture facilities has been left aside and increasingly efforts have been 881 882 made to acquire commercialized biomass. Nannochloropsis sp. is one of the most used 883 species for this purpose, because it has high percentages of fatty acids, mainly 884 eicosapentaenoic acid (EPA), and has the technology required for cultivation, harvesting 885 and storage (Faé Neto et al., 2018; Kubelka et al., 2018; Roselet et al., 2017, 2019; Safafar 886 et al., 2017).

888 As microalgae culture have extremely low densities that can reach up to 0.05% of dry 889 weight, large volume of culture would be required to produce a small amount of biomass 890 (Camacho-Rodríguez et al., 2013; Mayers et al., 2014; Roselet et al., 2013, 2017). So, for 891 commercial purposes, it is necessary to harvest this biomass. Regardless of the method 892 used, the biomass obtained is concentrated and can therefore be sold in a dry or wet form. 893 Freeze-drying and spray-drying the biomass keeps its composition stable for longer 894 (Coleman et al., 2023), however, this process requires high energy expenditure due to the 895 use of freeze-dry or spray-dry, making its cost unfeasible. Although wet biomass has a 896 lower cost when compared to dry biomass, degradation is faster due to the high-water 897 activity (Coleman et al., 2023; Welladsen et al., 2014). The speed at which these processes 898 will deteriorate the biomass depends mainly on the storage temperature (Balduyck et al., 899 2016; Dalheim et al., 2021). These degradation processes are mainly metabolic (protein 900 denaturation, lipolysis and lipid peroxidation) (Balduyck et al., 2016; Bernaerts et al., 901 2019; Coleman et al., 2023), and processes is caused by bacterial contamination (Dan et 902 al., 2018; Verspreet et al., 2020).

903

904 Although in large-scale cultivation several protocols are used to reduce contamination, 905 bacteria are ubiquitous (Giraldo et al., 2019; Lian et al., 2022). When this biomass is 906 harvested, all the organic load present in the cultivation can be concentrated (Roselet et 907 al., 2019). These bacteria compete with microalgae for nutrients or can use the 908 compounds produced by microalgae for their own metabolism (Giroldo et al., 2007; Lian 909 et al., 2021), an example, are bacteria that use an important osmolyte, antioxidant and 910 cryoprotectant for microalgae, dimethylsulfonioproprianate (DMSP), and produce 911 dimethylsulfide (DMS) (Zhang et al., 2019; Zhuang et al., 2011), a volatile compound 912 highly unwanted in industry due to its strong sulfur smell and the problems it can cause 913 to human health (Charlson et al., 1987). In microalgae cultures, DMS is present in such a 914 low concentration that it is not detected by quantification methods (Liu et al., 2014; Malin, 915 2006; Yao et al., 2019). As it is a volatile compound, when microalgae are harvested and 916 concentrated, the existing portion of DMS is released. However, this does not prevent its 917 subsequent appearance during storage periods, mainly in the presence of bacteria and 918 dissolved organic matter.

920 DMS in marine environments has been extensively studied over the past 40 years due to 921 its importance in the sulfur cycle (Asher et al., 2017; Bates et al., 1987; Bouillon and 922 Miller, 2005; Charlson et al., 1992; Raina et al., 2010; Vila-Costa et al., 2014). To date, 923 there are few studies that evaluate its appearance and relevance in microalgae cultures 924 and concentrates and associating this appearance with other factors such as the presence 925 of organic matter and bacteria (Levasseur et al., 1996; Zhuang et al., 2011). Thus, the 926 objective of this study is to determine the influence of bacteria and organic matter on the 927 appearance of DMS throughout the shelf life of *Nannochloropsis oceanica* concentrate.

928

929 6.2 Material and methods

930 6.2.1 Microalgae cultivation and harvesting

931 The microalgae Nannochloropsis oceanica (strain NANN OCEA 1) was obtained from 932 the collection of the Laboratory of Phytoplankton and Marine Microorganisms, of the 933 Federal University of Rio Grande (FURG). The microalgae were cultivated in 330 L 934 closed bubble columns photobioreactors with a diameter of 0.55 m and water column of 935 1.5 m. Natural seawater (30 g·L⁻¹) was treated following a protocol adapted from Kubelka 936 et al. (Kubelka et al., 2017). Briefly, seawater was sequentially filtered through 1.0 µm 937 and 0.5 µm polypropylene filter cartridges, and then sterilized by ultraviolet light (UVC). Salinity was adjusted to 28 $g \cdot L^{-1}$ using freshwater, followed by addition of hypochlorite 938 939 12 % (NaClO, 0.50 mL·L⁻¹) for 48 h, and then neutralized with ascorbic acid (C₆H₈O₆, 940 30 mg·L⁻¹) (Harrison and Berges, 2005). A fertilizer-based medium (YCB) was employed 941 (Couto et al., 2021). A 10 % inoculum was added, reaching a final cell density of 4.25×10^7 942 cells·mL⁻¹, and the culture was homogenized through continuous atmospheric air injection (4.8 $L \cdot min^{-1}$) (Kubelka et al., 2017). 943

944

The culture was kept in a greenhouse under natural environmental conditions (temperature, irradiance and photoperiod). The fed-batch cultivation was conducted in August 2022 (late winter in the southern hemisphere), lasting 18 days with medium additions at every 5 days. Growth was monitored twice a week by optical density at 750 nm, and pH was monitored and corrected to 8.00 by CO_2 injection, at the same frequency. The microalgae were collected by centrifugation in a continuous disk centrifuge (FJ 130 EPR, Janschitz Gmbh, Althofen, Austria) with a flow rate of 20 L·h⁻¹ and rotation of 9,500
RPM, resulting in a 25% dry matter paste. The paste was stored at 4°C for less than 48 huntil the beginning of the experiment.

954

955 6.2.2 Experimental design

956 To understand the evolution of DMS in microalgae concentrates, the presence of bacteria 957 and organic matter were evaluated at different temperatures and storage times (at 23°C 958 for 0, 2, 4, 6, 8, and 10 days, and at 4°C for 0, 17, 34, 51, 68, and 85 days). A control 959 group containing bacteria and organic matter (concentrate C) was set to observe the 960 natural evolution of DMS, where the paste was simply diluted in autoclaved seawater until a concentration of 5×10^9 cells mL-1. A treatment with reduced quantities of bacteria 961 962 and organic matter (concentrate W) was set by sequentially washing the paste (Roselet et 963 al., 2019). Briefly, about 20 g of paste were resuspended in 450 mL of autoclaved seawater 964 and centrifuged for 18 minutes at 2,169 g, then the supernatant was discarded. This 965 process was repeated 4 times, then the paste was diluted in autoclaved seawater until a concentration of 5×10^9 cells mL⁻¹. Finally, the concentrates C and W were packed in 50 966 967 mL transparent LDPE stand up airtight pouch bags and stored at the experimental temperatures, being manually homogenized every 2 days to avoid sedimentation (Figure 968 969 1a and c). In both concentrates, no chemical additives were employed to extend the shelf 970 life. For each storage time, cell viability, bacterial density, DOM and DMS concentrations 971 were determined.



Figure 1: Macro (A and C) and micro (B and D) images of the 85 days concentrates. Control (A and B) and
Washing process (C and D). In green the microalgae cells and in red/orange bacteria.

976 6.2.3 Dissolved organic matter (DOM)

For the DOM quantification, 20 mL samples of the concentrates C and W were first centrifuged for 18 min at 2,169 g to remove the bulk microalgae, then the supernatants were filtered through 0.7 μ m to remove any remaining microalgae. The DOM fraction was finally obtained by passing the filtrate through a 0.45 μ m filter. DOM samples were then stored in a freezer (-21°C) until analysis. Dissolved organic carbon (DOC) was analyzed as a proxy for DOM. A Shimadzu TOC–CVSP analyzer (Tokyo, Japan) was used for DOM determinations. The instrument was calibrated with potassium hydrogen phthalate (total carbon standard, TC) and sodium hydrogen carbonate and anhydrous sodium carbonate (inorganic carbon standards, IC). TC and IC standards ranged from 0 to 100 mg·L⁻¹. DOC concentration was calculated as the difference between TC and IC measurements. All measurements were performed in triplicate. TC and IC standards with concentrations of 10 and 50 mg·L⁻¹ were used as controls.

989

990 6.2.4 Bacterial density

991 Bacterial density of concentrates C and W was determined by direct cell counting under 992 an epifluorescence microscope. Hence, 10 mL samples were stored in 4% formaldehyde solution at room temperature until analysis. As the samples were highly concentrated 993 994 $(5 \times 10^9 \text{ cells} \cdot \text{mL}^{-1})$, a dilution rate of $10,000 \times$ using sterilized seawater was necessary. For 995 the preparation of counting slides, 1 mL of samples were filtered through 0.2 µm pore-996 size Nuclepore polycarbonate filters previously darkened with Irgalan Black, with a 997 vacuum pressure <5 cmHg (Hobbie et al., 1977). The samples were incubated for 10 998 minutes with a 0.1 % Acridine Orange solution (Nishino, 1986), then the filters were 999 removed from the filtration apparatus and let dry. After drying, they were placed on a 1000 slide with immersion oil and observed in Zeiss Axioplan epifluorescence microscope $(1,000 \times \text{ final magnification}, \lambda \text{Ex} = 460 \text{ nm and } \lambda \text{Em} = 490 \text{ nm})$ (Hobbie et al., 1977). 1001 1002 Bacteria were counted in 20 random fields until reaching a total of at least 100 cells, and 1003 then separated into morphotypes (cocci, bacilli and filament). The bacterial density was 1004 calculated using Equation 1.

1005Total Bacterial Cell Count/
$$\mu L = \frac{A \times (\frac{B}{C})}{1000}$$
Equation 11006where A = average count per field, B = filtration area (mm2), C = count field area (mm2),1007and D = sample volume (mL).

1008

1009 **6.2.5 DMS**

1010 A headspace-gas chromatography/mass spectrometry (HS-GC/MS) method was 1011 developed for quantifying DMS. Briefly, 10 mL samples were pipetted to 20 mL 1012 headspace vials sealed with magnetic screw-capped lids lined with PTFE-silicone septa. 1013 The vials were then closed and placed in the AOC 6000 auto-sampler, where the 1014 headspace extraction was automatically carried out. The samples were incubated at 70°C 1015 with agitation at 250 rpm for 20 minutes for transferring of DMS from sample to the 1016 headspace. Afterwards, 1000 µL of headspace gas was drawn with a gas-tight syringe and 1017 injected in the splitless mode into the GC for analysis. DMS determination was carried 1018 out by a Shimadzu gas chromatograph tandem mass spectrometry, equipped with a 1019 Combipal AOC 6000 autosampler, GC-2010 Plus column oven and a TQ8050 mass 1020 spectrometer detector with a triple quadrupole type mass filter. Carrier gas was helium 1021 (99.999 % purity) at constant flow rate of 0.87 mL·min⁻¹. The injector temperature was 1022 60°C. A capillary column model RTX®-Wax (25 m \times 0.25 mm \times 0.25 μ m) (Restek, 1023 Bellefonte, PA, USA) was used for separating DMS. The oven temperature program 1024 started at 30°C, which was kept for 2 min. Afterward, the temperature increased up to 1025 180°C at 15°C·min⁻¹, totaling 12 min of analysis. In this condition, retention time for 1026 DMS was 1.760 min. Mass spectrometry was performed in the electron impact mode with 1027 collision energy of 70 eV. Interface and ion source temperatures were 220°C and 200°C, 1028 respectively. The selective ion monitoring using the third quadrupole filter mode was used 1029 for identifying DMS, whose most intense ion was used for quantification (62 m/z) while 1030 the other two most intense ions were used for confirmation (47 and 61 m/z, respectively). 1031 Equipment manipulation and data collection and treatment were performed by the 1032 GCMSsolution software, version 4.45 SP1 (Shimadzu, Japan). The limit of quantification 1033 was assessed experimentally by the lowest concentration with a signal to noise ratio of 1034 10, while the limit of detection was that concentration divided by 3.3. The analytical curves were daily prepared by proper dilution of the DMS standard at seven concentration 1035 levels, from the limit of quantification, which was 1 μ g·L⁻¹ up to 100 μ g·L⁻¹. The linear 1036 1037 regression was used for construction of the analytical curves, and the equation Y =1038 269,033.3192x + 98.57896258 was used for the determinations, whose determination coefficient (R2) was > 0.999. Accuracy was assessed by a recovery assay, by addition of 1039 the DMS standard at different concentrations. Recoveries between 85 to 107% with 1040 1041 relative standard deviation lower than 8.8% were achieved.

1042

1043 **6.2.6** Cell viability

1044 To determine effects of washing, temperature and storage times on cell viability, the 1045 concentrates C and W were inoculated (in triplicate) at 6.25×10^6 cells·mL⁻¹ in 10 mL test 1046 tubes containing f/2 medium (Guillard, 1975) and incubated for six days at 21°C, with a 1047 light intensity of 100 μ mol-photons·m⁻²·s⁻¹ and a 12 h light period. A fresh N. oceanica 1048 culture in the exponential growth phase was employed as an inoculum control (I). The 1049 test tubes were manually homogenized daily. Microalgae density was measured by cell 1050 counting initially (D) and on day six (D0) using an improved Neubauer chamber at 400× 1051 magnification, and growth rate (K) was calculated as a proxy for cell viability (equation 1052 2).

1053
$$K(division \ d^{-1}) = \frac{3.322}{(t-t_0) \times Log(\frac{D}{D_0})}$$
 Equation 2

1054

1055 6.2.7 Statistical analysis

The presence of bacteria and organic matter in the formation of DMS in N. oceanica 1056 1057 concentrates was studied at two different temperatures and six storage times ($23^{\circ}C \times 0$, 1058 2, 4, 6, 8, 10 days, and $4^{\circ}C \times 0$, 17, 34, 51, 68, 85 days). Data on cell viability, bacterial 1059 density, dissolved organic matter and DMS concentrations were first analyzed for 1060 normality and homogeneity using the Kolmogorov-Smirnov and Lavene tests, 1061 respectively. Then, Student's t-test or one-way analysis of variance followed by a Tukey post hoc test was performed, both with a significance level of 5%. Analyzes were 1062 1063 performed separately by temperatures, comparing responses between treatments within 1064 the same storage time and comparing responses within treatments over storage time.

1065

1066 **6.3 Results**

1067 6.3.1 Dissolved organic matter

Microalgae naturally release DOM containing DMSP, which is absorbed by bacteria and 1068 1069 broken down into DMS (Charlson et al., 1992). Thus, controlling the initial DOM 1070 concentration in microalgae concentrates could be an approach to reduce bacterial growth 1071 and, consequently, DMS production. Figure 2 presents the effect of washing, temperature 1072 and storage times on DOM concentrations (expressed as DOC mg·L⁻¹). At room 1073 temperature, a significant difference (P<0.0001) was observed for the initial 1074 concentration of DOM between treatment washed W ($12.76 \pm 1.57 \text{ mg} \cdot \text{L}^{-1}$) and control C ($38.73 \pm 1.32 \text{ mg} \cdot \text{L}^{-1}$), indicating that the washing process was efficient (Figure 2a). 1075 1076 DOM concentrations increased over time in treatment W and control C, remaining

significantly different (P<0.0001). DOM concentrations in treatment W stabilized from 1077 day 4 onwards ($67.85 \pm 13.16 \text{ mg} \cdot \text{L}^{-1}$), whereas control C stabilized on day 6 ($175.02 \pm$ 1078 29.03 mg·L⁻¹). The maximum DOM concentrations achieved in 10 days for treatment W 1079 and control C were $80.81 \pm 6.87 \text{ mg}\cdot\text{L}^{-1}$ and $196.43 \pm 29.71 \text{ mg}\cdot\text{L}^{-1}$, respectively. In 1080 overall, a similar pattern was observed with concentrates kept under refrigeration for 85 1081 1082 days (Figure 1b). Both treatment W and control C presented a steady DOM increase over 1083 time, attaining maximum concentrations of $114.90 \pm 6.80 \text{ mg} \cdot \text{L}^{-1}$ and 227.03 ± 32.67 $mg \cdot L^{-1}$, respectively. Comparing the effect of storage temperatures, DOM concentrations 1084 for treatment W at 23°C (51.78 \pm 26.09 mg·L⁻¹) and at 4°C (63.97 \pm 36.48 mg·L⁻¹) were 1085 not significantly different (P=0.536). For control C at 23°C ($126.52 \pm 59.50 \text{ mg} \cdot \text{L}^{-1}$) and 1086 at 4°C ($125.90 \pm 67.61 \text{ mg} \cdot \text{L}^{-1}$), DOM concentrations were also not significantly different 1087 (P=0.987). These results indicate that the washing process was efficient in reducing the 1088 1089 initial DOM concentrations. Furthermore, refrigeration also played a significant role in 1090 slowing DOM production, as no significant difference (P=0.125) was observed for 1091 control C after 85 days at 4°C (227.03 \pm 32.67 mg·L⁻¹) and after 10 days at 23°C (176.37 \pm 31.65 mg·L⁻¹). Regarding treatment W, no significant difference (P=0.052) was 1092 1093 observed up to 68 days at 4°C (95.17 \pm 0.63 mg·L⁻¹) and 10 days at 23°C (60.82 \pm 21.79 mg·L⁻¹). After 68 days, DOM concentration was significantly different (P=0.014) at 4°C 1094 1095 $(114.90 \pm 6.80 \text{ mg} \cdot \text{L}^{-1}).$



1097Figure 2: Mean \pm standard deviation of concentrations of DOM (as DOC mg·L⁻¹) at 23°C (a) and at 4°C1098(b) for treatment W and control C. Different lowercase letters indicate statistically significant differences1099(p<0.05) among treatment W and control C within the same storage day (n = 3). Different uppercase letters</td>1100indicate statistically significant differences (p<0.05) within treatment W and control C throughout the</td>1101storage period (n = 3).

1103 6.3.2 Bacterial density

1104 Figure 3 presents the effect of washing, temperature, and storage times on bacterial 1105 densities (in cells·µL⁻¹). A significant increase is noticeable in the total bacterial densities 1106 in control C at 23°C (P=0.001, Figure 3a) and at 4°C (P=0.001, Figure 3b) during the storage time. The maximum bacterial densities for control C were attained at the end of 1107 the experiment, being $8.98 \times 10^4 \pm 1.10 \times 10^4$ cells $\cdot \mu$ L⁻¹ at 23°C (10th day), and $7.28 \times 10^4 \pm$ 1108 7.33×10^3 cells·µL⁻¹ at 4°C (85th day). No significant difference (P=0.093) was observed 1109 for control C at 23°C and 4°C. Although a significant increase is observable in the total 1110 bacterial densities in treatment W at 23°C (P=0.016, Figure 3a) and at 4°C (P=0.039, 1111 Figure 3b), those densities $(3.09 \times 10^3 \pm 6.91 \times 10^2 \text{ cells} \cdot \mu L^{-1})$ were significantly lower 1112 (P<0.0001) than in the control C ($8.05 \times 10^4 \pm 7.73 \times 10^3$ cells· μ L⁻¹). These results indicate 1113 1114 that the washing process was efficient in reducing the total bacterial density. Moreover,

1115 refrigerating the concentrates delayed bacterial growth for up to 85 days.





1117Figure 3: Mean \pm standard deviation of concentrations (μ L⁻¹) of total, bacilli, cocci and1118filamentous bacteria at 23°C (a, c, e, g) and at 4°C (b, d, f, h) for treatment W and control1119C. Different lowercase letters indicate statistically significant differences (p<0.05) among</td>1120treatment W and control C within the same storage day (n = 3).

1122 Regarding bacterial morphotypes, bacillus was the most predominant, showing the same behavior regardless of storage temperature (Figure 3c and d). The average densities in 1123 control C and treatment W were $5.88 \times 10^4 \pm 1.92 \times 10^4$ cells $\cdot \mu L^{-1}$ and $2.19 \times 10^3 \pm 1.64 \times 10^3$ 1124 cells· μ L⁻¹, respectively, being significantly lower (P<0.0001) for treatment W. In general, 1125 1126 densities significantly increased (P<0.01) over time, though no differences were observed 1127 comparing storage temperatures for control C (P=0.187) and for treatment W (P=0.659). 1128 Coccus was the second most predominant morphotype (Figure 3e and f). Briefly, no significant difference was observed in densities in relation to temperature and storage 1129 1130 time for treatment W (P=0.368). For control C, a significant difference (P=0.011) was observed for temperature, being lower at 4°C. The average densities in control C and 1131 treatment W were $4.67 \times 10^3 \pm 2.18 \times 10^3$ cells μ L⁻¹ and $6.59 \times 10^2 \pm 2.27 \times 10^2$ cells μ L⁻¹, 1132 respectively, being significantly lower (P<0.0001) for treatment W. Filamentous bacteria 1133 1134 were the least predominant morphotype and no significant difference was observed between control C (9.02×10² \pm 6.88×10² cells·µL⁻¹) and treatment W (3.74×10² \pm 1135 3.40×10^2 cells·µL⁻¹) for temperature and storage time (Figure 3g and h). 1136

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1138 **6.3.3 DMS**

The washing process demonstrated to be efficient in removing DOM and bacteria, 1139 1140 therefore the hypothesis is that the production of DMS in the concentrates would be lower 1141 than in the control not subjected to this process. As shown in Figure 3a, DMS was not 1142 detected (< 1 μ g·L⁻¹) until day 2 in treatment W and control C. From day 2 onwards, DMS 1143 concentrations significantly increased (P<0.0001) in both samples, reaching a maximum of $21.37 \pm 6.11 \ \mu g \cdot L^{-1}$ and $56.00 \pm 8.75 \ \mu g \cdot L^{-1}$ in treatment W and control C, respectively. 1144 DMS concentrations at 23°C were significantly (P<0.01) lower in treatment W than in 1145 1146 control C. For samples stored at 4°C (Figure 4b), DMS concentrations increased 1147 significantly (P<0.001) on day 17, then stabilizing and reaching a maximum of $8.50 \pm$ 0.95 μ g·L⁻¹ and 39.90 ± 19.77 μ g·L⁻¹ in treatment W and control C, respectively. As 1148 1149 observed at 23°C, DMS concentrations were significantly (P<0.01) lower in treatment W 1150 than in control C. It is interesting to note that DMS concentrations after 10 days at 23°C 1151 were almost double than after 85 days at 4°C, being significantly different (P<0.01). These 1152 results demonstrate that the washing process efficiently reduce DMS production, 1153 remaining at low levels for up to 85 days when refrigerated.



Figure 4: Mean \pm standard deviation of concentrations of DMS ($\mu g \cdot L^{-1}$) at 23°C (a) and at 4°C (b) for treatment W and control C. Different lowercase letters indicate statistically significant differences (p<0.05) among treatment W and control C within the same storage day (n = 3). Different uppercase letters indicate statistically significant differences (p<0.05) within treatment W and control C throughout the storage period (n = 3).

1154

1161 As DMS is an extremely volatile compound (Charlson et al., 1992). In general, the 1162 presence of odor was perceived at every sampling time. In the control, except for day 0, 1163 all samples had a strong sulfur smell. Already in treatment W, a smell resembling fresh 1164 products from the sea, such as seaweed, was observed. Thus, can be concluded that under 1165 these conditions, the shelf life of concentrates can be extended by up to 4 days in 23°C, 1166 from 4 to 8 days, and 68 days in 4°C, from 17 to 85 days. As shown in figure 1 (a and c), 1167 there is a visual difference in color between the two concentrates. Whereas the control 1168 showed a reddish/orange color (Figure 1a), W treatment was clear (Figure 1c), which 1169 through a microscopic analysis (Figure 1b and d), was shown to be directly related to the amount of bacteria. Samples were collected and the bacterial composition was analyzed 1170 1171 by metagenomics (unpublished data). Among the groups found, two possible groups 1172 responsible for the red-orange color were identified, Erythrobacter (15.3%) and 1173 Roseobacter (2.3%).

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1175 **6.3.4** Cell viability

1176 Although the washing process has been shown to be efficient in reducing DMS 1177 production, it should not negatively affect the quality of the microalgae. Figure 5 presents 1178 the effects of washing, temperature and storage times on cell viability, expressed as 1179 growth rate (k, duplications \cdot day⁻¹). As observed, the average growth rate was 0.290 ± 1180 0.040 \cdot day⁻¹ and no statistically significant differences (P>0.05) were observed among or 1181 between treatment W and controls C and I (inoculum) at both temperature and storage 1182 times (Figure 5a and b). The only difference observed was on day 6 at 23°C, where a 1183 significant difference (P=0.003) was observed for treatment W (0.339 ± 0.013 \cdot day-1) 1184 versus control C and I (0.267 ± 0.035 \cdot day⁻¹, Figure 5a). These results indicate that the 1185 concentrates remained viable for 10 and 85 days at 23°C and 4°C, respectively.



1186

1187Figure 5: Mean \pm standard deviation of growth rate (duplications \cdot day⁻¹) at 23°C (a) and at 4°C (b) for1188treatment W and control C. Different lowercase letters indicate statistically significant differences (p<0.05)</th>1189among treatment W and control C within the same storage day (n = 3). Different uppercase letters indicate1190statistically significant differences (p<0.05) within treatment W and control C throughout the storage period</th>1191(n = 3).

1192

1193 **6.4 Discussion**

1194 The washing process allowed the organic load to be considerably reduced, generating 1195 commercially acceptable product, and contributed to enhance understanding about shipping time and shelf life of Nannochloropsis oceanica concentrates developed and 1196 1197 commercialized by AlgaSul Biotecnologia de Microalgas. In parallel, this developed protocol allows a decrease in DMS concentrations. This is not a direct product of 1198 microalgae metabolism, but a result of the interaction between microalgae and bacteria, 1199 since both concentrates had the same concentration of microalgae cells $(5 \times 10^9 \cdot mL^{-1})$ and 1200 1201 there is a relationship between the amount of bacteria, organic matter and DMS 1202 concentration.

1204 Despite the implementation of several protocols aimed at disinfection and decrease of 1205 contamination in cultivation, achieving this in large-scale is nearly impossible, 1206 particularly in an open production system (Fulbright et al., 2018; Giraldo et al., 2019; 1207 Lian et al., 2022, 2021). This is primarily due to the favorable conditions for bacterial 1208 groups to thrive in the cultivation environment, where they can compete with microalgae 1209 for nutrients or utilize byproducts from the algae's metabolism for their own benefit 1210 (Fulbright et al., 2018; Giraldo et al., 2019; Lian et al., 2022). Erithrobacter was found 1211 as the most abundant group, an ovoid to rod-shaped bacteria with red or orange pigments 1212 (Shiba and Simidu, 1982). In luminous environments, this group makes photosynthesis 1213 and plays an essential role in the carbon cycle (Shiba and Imhoff, 2015), which explains 1214 the increase in organic matter in the control over time, (item 3.4) but not the increase in 1215 DMS concentration. Roseobacter in turn, is a gram-negative bacteria group shaped like 1216 bacilli. They can produce colored pigments, generally pink or orange, like Erithrobacter, 1217 but among other groups of bacteria, this is an important group in the sulfur cycle for 1218 producing DMS from DMSP (Geng and Belas, 2010; Miller and Belas, 2004).

1219

As the quantification limit of the method used was 1 μ g·L⁻¹, it is impossible to state that 1220 1221 in the initial sampling and on day 2 at 23°C, the DMS concentration was even equal to zero, since on the day 4 the means of W and C were $6.23 \pm 1.70 \ \mu g \cdot L^{-1}$ and 25.67 ± 1.56 1222 $\mu g \cdot L^{-1}$, respectively. There is no consensus in the literature regarding the levels of 1223 1224 detection of DMS. According to Demchuk et al. (2018), comparing several studies, the 1225 detection level can vary from 0.16 to 98 µg·L⁻¹. DMS odor was detected in all control 1226 samples, except for the initial sample. In the washing treatment, the odor was much less 1227 pronounced and unpleasant. But even in the worst-case scenario, the DMS concentration was no more than 70 μ g·L⁻¹, on day 8 in 23°C. 1228

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As DMS in the concentrates is not from the microalgae, it is possible to decrease its production by reducing the DOM concentration or stop the bacteria's metabolism. Despite the washing method allowing the reduction of organic load, with the use of successive centrifugation, cells may be susceptible to rupture due to shear stress, compromising viability (Balduyck et al., 2017; Bernaerts et al., 2019). However, *Nannochloropsis* is known for its robust cell wall, composed by two polymeric layers of algaenan and 1236 cellulose (Beacham et al., 2014; Scholz et al., 2014), which renders the cell extremely 1237 resistant to shear stress (Balduyck et al., 2017; Bernaerts et al., 2019). Another potential 1238 manner to reduce the organic load is by using a skimmer, a foam fractionator used in 1239 aquaculture plants in RAS systems (Kovács et al., 2023), during microalgae cultivation 1240 (Roselet et al., 2019). But, unlike the present work, these authors used this method to 1241 remove organic matter directly from microalgae cultivation, prior to harvesting and did 1242 not evaluate its implications on the shelf life of the microalgae.

1243

1244 Both washing and skimming are proven methods for reducing organic load, but do not 1245 guarantee its complete removal. In industry, usually, the treatment of bacteria is made by 1246 thermal treatment, either cold or hot (Dan et al., 2018; Khalili et al., 2019; Savvidou et 1247 al., 2016; Welladsen et al., 2014). Although studies demonstrate effectiveness in 1248 decreasing the bacteria load, this type of treatment considerably increases the costs. It can 1249 also cause biomass to lose its freshness and cell viability, becoming inert. While fresh 1250 microalgae concentrate maintain water quality in aquaculture, freeze-dried or pasteurized 1251 inert microalgae increase the levels of nitrogen compounds in the water, deteriorating 1252 cultivation conditions (Sales et al., 2019). Thus, the use of fresh microalgae is more 1253 suitable. Another way is to use an antibacterial additive, such as ascorbic acid (Khalili et 1254 al., 2019). The use of antibacterial additives, besides extending the storage time of 1255 concentrates, can also prevent lipid peroxidation. Hence, this additive can also be 1256 combined with the washing process to decrease bacterial density throughout the shelf life 1257 of microalgae concentrates.

1258

1259 6.5 Conclusions

The presence of DMSP in the organic matter of microalgae deserves attention due to its impact on the shelf life of concentrates, as its bacterial metabolism generates DMS, a compound with a strong sulfurous odor. The washing process is a great alternative to reduce the development of bacteria, generation DOM and DMS in concentrates. Also is responsible for improving the sensorial characteristics of the concentrate, such as color and odor, without any damage to cell viability. The washing process provides concentrates with a longer shelf life, remaining for up to 8 days at 23°C and for 85 days at 4°C. In instance, current research provided information to collaborating company definemaximum shipping time and warranty time for its clients.

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1270 **References**

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| 1467 | SKIMMER AND WASHING METHOD IN PREVENTING THE APPEARANCE |
| 1468 | OF DMS IN Nannochloropsis oceanica CONCENTRATES |
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1487 Abstract

1488 The aim of this study was to test the use of the skimmer and the washing method as ways 1489 to minimize the concentration of bacteria in Nannochloropsis oceanica concentrates and 1490 to evaluate their effect on the concentration of organic matter and dimethylsulfide (DMS) 1491 in the long term. For this purpose, a concentrate of N. oceanica with a density of 5 billion 1492 cells per mL (C) was compared to four treatments: skimmer (S), washing (W), skimmer 1493 + washing (SW) and removal (R) for 68 days. Initial collections were made on days 17, 1494 34, 51 and 68 to evaluate cell viability, bacterial density, bacteria removal efficiency, 1495 dissolved organic matter (DOM) concentration and DMS. Cell viability was not 1496 influenced by the treatments over time. However, the bacterial removal rate was 1497 influenced from the initial sampling. The highest removal rates were observed in the SW 1498 and R treatments. The concentration of DOM increased in all treatments over time but 1499 was higher in the SW treatment. The DMS concentration showed the same pattern as the 1500 organic matter, however, the highest concentrations were observed in the S treatment. To 1501 reduce bacterial growth in the concentrates and consequently reduce the concentration of 1502 DOM and DMS, it is necessary to use the washing process either combined with the 1503 skimmer or repeating the process. These results point to the need to optimize the washing 1504 process to ensure a higher rate of bacteria removal and the viability of the process.

1505

1506 Keywords: Foam fractionator; Bacteria; Organic matter; Cell Viability; Bacteria removal
1507 efficiency; DMSP; Dimethyl sulfide

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1509 **7.1 Introduction**

1510 Dimethylsulfide (DMS) is a volatile organosulfur compound with a low odor threshold 1511 (0.6 to 40 ppb) and is the most important form of marine sulfur (Keller 1988; Kiene and 1512 Bates 1990). Since the 1980s, it has gained great prominence as an important climate 1513 regulator (Charlson et al. 1987, 1992; Bates et al. 1987; Keller 1988; Zhang et al. 2019). It can be produced by some groups of microalgae and marine bacteria, besides their 1514 1515 association (Levasseur et al. 1996; Cui et al. 2015; Zhang et al. 2019b). This association 1516 occurs through the precursor of DMS, dimethylsulfoniopropionate (DMSP), produced by 1517 microalgae of great economic importance, such as Nannochloropsis oceanica (Curson et 1518 al. 2017).

1520 DMSP acts on cellular osmotic balance, as an antioxidant, inhibitor of cysteine and 1521 methionine production, furthermore as a defense mechanism against predation (Kiene et 1522 al. 2000; Zhang et al. 2019). When released into the environment in the form of dissolved 1523 organic matter (DOM), it can be assimilated by bacteria, such as the genus Roseobacter, 1524 generating DMS into the environment (Zhang et al. 2019). Just as in the natural 1525 environment, this process can occur in microalgae cultivation if those bacteria are present 1526 (Miller and Belas 2004). However, even in high-density cultures of microalgae, DMS is 1527 often undetectable. This is a consequence of its volatile nature, which causes it to be 1528 constantly being released into the atmosphere. Thus, DMS is not a problem in microalgae 1529 cultivation.

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1531 For commercial applications of microalgae, it is necessary to concentrate them. As a 1532 result, the bacteria present in the cultures are also concentrated. Because DMS has a low 1533 odor threshold and presents an unpleasant odor like rotten eggs, giving the product a 1534 spoiled characteristic, its presence in microalgae concentrates is highly undesirable in 1535 industry (Giri et al. 2010). In this type of processing, although the initial DMS 1536 concentration is low, DMS formation is constant and accumulates over the storage time 1537 (Couto et al. 2024). Therefore, to avoid DMS formation, it is necessary to find ways to 1538 remove bacteria from the concentrates, either before or after collecting the microalgae.

1539

1540 In aquaculture, the skimmer (foam fractionator) is widely used in combination with other 1541 methods to decrease dissolved organic matter, turbidity, bacteria and fine particles in 1542 recirculating systems (RAS) like ozone, and most recently, in Biofloc Technologic 1543 System (BFT) where there is little or no water exchange. In these systems, it is essential 1544 to maintain control of nitrogen by controlling the biological demand for oxygen (Samocha 1545 2019; Gregersen et al. 2021; Kovács et al. 2023). Its operation is mainly dependent on the 1546 presence of surfactants, chemical agent that reduces surface tension at the air-water 1547 interface. Some of these agents can be produced by microalgae (Chen et al. 1994; Buckley 1548 et al. 2022). Although many studies evaluate the use of the skimmer in fish and shrimp 1549 production, little is known about the use of the skimmer in microalgae cultivation 1550 (Csordas and Wang 2004; Figueiras Guilherme et al. 2020).

1552 Unlike the skimmer, washing is a process that proceeds cultivation and harvesting of 1553 microalgae. The process consists of adding water to the concentrated microalgae and 1554 centrifuging. After that, the supernatant is discarded and the process is repeated (Roselet 1555 et al. 2019; Couto et al. 2024). The microalgae with a higher density settle in the centrifuge tube and the bacteria and organic matter remain in the supernatant. In previous 1556 1557 studies, the skimmer and washing have proven their efficiency in the removal of organic 1558 matter and bacteria (Roselet et al. 2019; Couto et al. 2024). However, there is a lack of 1559 studies comparing and evaluating the effect of combining the two methods and determining their impact on the storage time of microalgae concentrates. Therefore, the 1560 1561 objective of this study was to assess the use of the skimmer and the washing method to minimize bacteria from Nannochloropsis oceanica concentrates, and to evaluate their 1562 1563 effect on the concentration of organic matter and DMS over time.

1564

1565 7.2 Material and methods

1566 7.2.1 Microalgae cultivation and harvesting

1567 Nannochloropsis oceanica strain (NANN OCEA 1) was obtained from the 1568 Phytoplankton and Marine Microorganisms Laboratory collection from Federal 1569 University of Rio Grande (FURG), cultivated in f/2 medium (Guillard 1975) in natural 1570 marine water (28 g·L⁻¹), filtered (1 μ m), and autoclaved (121 °C for 15 minutes). The cultivation was carried out in a photobioreactor with a diameter of 0.55 m and a water 1571 1572 column of 1.5 m with atmospheric air injection (4.8 L·min⁻¹) (Kubelka et al. 2017) under natural environmental conditions of temperature, lighting, and photoperiod. The natural 1573 seawater (28 g·L⁻¹) used, about 300 L, was filtered through 1.0 and 0.5 µm filters, 1574 1575 sterilized with ultraviolet light and 12% hypochlorite (NaClO, 0.50 mL·L⁻¹) for 48 hours, then it was neutralized with 20% sodium thiosulfate (Na₂S₂O₃, 0.50 mL·L⁻¹) and enriched 1576 1577 with YCB medium (Couto et al. 2021). An inoculum of 10% (30 L) of the total volume with an initial cell density of $8 \cdot 10^6$ cells·mL⁻¹ was used. The cultivation was monitored 1578 by optical density, pH monitoring and correction for 15 days; when the pH reached 9.5 it 1579 1580 was corrected to 8.0 by CO₂ injection. Additionally, every five days an extra YCB medium charge was added. When the cell density of the cultivation reached $5 \cdot 10^7$ 1581 cells·mL⁻¹ on day 15, the microalgae was collected by centrifugation in a continuous disc 1582

1583 centrifuge (FJ 130 EPR, Janschitz Gmbh, Althofen, Austria) with a flow rate of 20 $L \cdot h^{-1}$ 1584 and rotation of 9,500 RPM, resulting in a 25% dry matter paste. The paste was stored at 1585 4 °C for 24 h until the start of the experiment.

1586

1587 7.2.2 Experimental design

1588 To determine the best way to remove bacteria and DOM in microalgae concentrates, we 1589 evaluated five conditions; a control (C) and four treatments, skimmer (S), washing (W), 1590 skimmer + washing (SW) and removal (R). In C, the paste did not undergo any treatment; 1591 after collection, it was diluted to a cell density of 5 billion cells per mL. To evaluate the 1592 use of the skimmer in the S and SW treatments, approximately 150 L of culture were 1593 treated with a skimmer for approximately 4 hours with a flow rate of 1000 L \cdot h⁻¹ (Roselet 1594 et al. 2019). In treatments W, SW and R, the washing method was used, which consisted 1595 of successive centrifugation of the pastes (60 g) in autoclaved seawater (28 g·L⁻¹, 500 mL) and discarding the supernatant (Couto et al. 2024). In treatments W and SW the 1596 1597 process was repeated 3 times. In R, the process was repeated 5 times, until the supernatant 1598 was completely clean with an optical density (OD) close to 0. The paste from each 1599 treatment was diluted to a concentration of 5 billion cells per mL and stored in Pouch-1600 type bags with a useful volume of 50 mL at a temperature of 4 °C for 68 days with initial 1601 collection and on days 17, 34, 51 and 68, totaling 75 independent units (5 treatments x 5 1602 sampling times x 3 replications) analyzed for cell viability, bacterial density, bacteria 1603 removal efficiency, concentration of DOM and DMS.

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1605 **7.2.3** Cell viability

1606 The determination of the viability of cells was carried out in treatments C, S, W, SW and 1607 R. Samples of the concentrates were inoculated in f/2 medium (Guillard 1975) in triplicate 1608 and their growth was compared to the growth of an inoculum (I) of the same microalgae 1609 in exponential growth phase. The volume of concentrates and inoculum used was adjusted to the initial cell density of 6.0×10^6 cells·mL⁻¹ in 10 mL test tubes. Tubes were then 1610 incubated for six days at 21°C, with a light intensity of 100 μ mol photons \cdot m⁻² \cdot s⁻¹ and a 1611 12 h light period. Daily, the test tubes were manually homogenized, and microalgae 1612 1613 density was measured by cell counting initially (D_0) and on day six (D) using an improved 1614 Neubauer chamber at 400× magnification. Cell viability was then calculated by growth rate (K) (equation 1). 1615

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1617
$$K(division \ d^{-1}) = \frac{3.322}{(t-t0) \times Log(\frac{D}{D_0})}$$
 Equation 1

1619 7.2.4 Bacteria community

Bacterial density in concentrates was determined by direct cell counting under an 1620 epifluorescence microscope. For that, 10 mL samples were stored in 4% formaldehyde 1621 1622 solution at room temperature until analysis. Before analysis, the samples were diluted 1623 (10,000x) using sterilized and filtered $(0.2 \ \mu m)$ seawater. For the preparation of counting 1624 slides, 1 mL of samples were filtered through 0.2 µm pore-size darked Nuclepore 1625 polycarbonate filters, with a vacuum pressure <5 cmHg (Hobbie et al. 1977). The samples were then incubated for 10 minutes with a 0.1 % Acridine Orange solution (Nishino 1626 1986). The filters were removed from the filtration apparatus and let dry at environmental 1627 1628 temperature. Afterwards, they were placed on a slide with immersion oil and observed in a Zeiss Axioplan epifluorescence microscope (1,000× final magnification, $\lambda_{Ex} = 460$ nm 1629 and $\lambda_{Em} = 490$ nm) (Hobbie et al. 1977). Bacteria were counted in 20 random fields until 1630 1631 reaching a total of at least 100 cells, and then separated into morphotypes (cocci, bacilli 1632 and filament). The bacterial density was calculated using Equation 2.

1633

1634 Total Bacterial Cell Count/
$$\mu L = \frac{A \times (\frac{B}{D})}{1000}$$
 Equation 2
1635

1636 where A = average count per field; B = filtration area (mm²); C = count field area (mm²); 1637 and D = sample volume (mL).

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1639 7.2.5 Bacteria removal efficiency

1640 To determine how effective each method was in removing bacteria, the bacteria removal
1641 efficiency (Kovács et al. 2023) was calculated according to Equation 3.

1643
$$RE(\%) = \frac{(Bc-Bt)}{Bc} \times 100$$
 Equation 3
1644

Where Bc is the total average number of bacteria in the control and Bt is the total number
of bacteria in each treatment. All calculation was made with the values of each sampling
time.

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1649 7.2.6 Dissolved Organic Matter

To analyze only the dissolved part of the organic matter, the concentrates needed to go 1650 1651 through centrifugation and filtration processes. Firstly, 20 mL samples were centrifuged 1652 for 18 min at 2,169 g to remove the bulk microalgae, then the supernatants were filtered 1653 through 0.7 µm to remove any remaining microalgae. The DOM fraction was finally 1654 obtained by passing the filtrate through a 0.45 µm filter. DOM samples were then stored in a freezer (-21°C) until analysis. Dissolved organic carbon (DOC) was analyzed as a 1655 1656 proxy for DOM. A Shimadzu TOC-CVSP analyzer (Tokyo, Japan) was calibrated with potassium hydrogen phthalate (total carbon standard, TC) and sodium hydrogen 1657 1658 carbonate and anhydrous sodium carbonate (inorganic carbon standards, IC). TC and IC standards ranged from 0 to 100 mg·L⁻¹. DOC concentration was calculated as the 1659 difference between TC and IC measurements. All measurements were performed in 1660 triplicate. TC and IC standards with concentrations of 10 and 50 mg \cdot L⁻¹ were used as 1661 1662 controls.

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- 1664 7.2.7 DMS determination by HS-GC-MS/MS

1665 The determination of DMS was carried out employing a properly Headspace Extraction 1666 and Gas Chromatography tandem Mass Spectrometry (HS-GC-MS) method, which was 1667 previously validated (Couto et al. 2024). The volatile compound DMS was handled 1668 carefully throughout the analysis process to avoid gas exchange. For analysis, samples were stored at -21 °C in sealed falcon tubes. Then, 10 mL of each sample was transferred 1669 1670 to a 20 mL headspace vial, sealed with a magnetic screw-capped lid lined with PTFE-1671 silicone septa. The vials were incubated at 70 °C with agitation for 20 minutes to extract DMS into the headspace. Headspace gas (1000 µL) was then injected into a Shimadzu 1672 1673 GC-MS model TQ8050 for analysis. The GC-MS system utilized helium as the carrier gas with a constant flow rate of 0.87 mL·min⁻¹ and a capillary column (RTX®-Wax) for 1674 1675 separation. DMS was detected using selective ion monitoring (SIM) mode with the most 1676 intense ion (62 m/z) for quantification and two additional ions (47 and 61 m/z) for 1677 confirmation. Calibration curves were constructed daily using DMS standards at 1678 concentrations ranging from $1 \ \mu g \cdot L^{-1}$ to $100 \ \mu g \cdot L^{-1}$.

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1680 7.2.8 Statistical analysis

1681 The efficiency of the skimmer and washing methods were evaluated together and 1682 separately as ways to improve *Nannochloropsis oceanica* concentrates for 68 days. For 1683 this, data on cell viability, bacterial density, bacteria removal efficiency, DOM and DMS 1684 concentration were analyzed for normality and homogeneity using the Shapiro-Wilk and 1685 Brown-Forsythe tests, respectively. Then, the one-way ANOVA was performed followed 1686 by Tukey's post hoc test (p < 0.05) for time and for treatment.

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1688 7.3 Results

1689 **7.3.1** Cell viability

The cell viability of the microalgae Nannochloropsis oceanica was not influenced by the 1690 1691 use of the skimmer or the washing process, presenting an average value of 0.39 ± 0.03 1692 duplications day⁻¹ (Figure 1a). Thus, there was no significant difference between the 1693 inoculum (I) and the other treatments. On day 68, C (0.43 ± 0.02 duplications day⁻¹) was 1694 significantly higher (p = 0.0304) than the SW treatment (0.36 ± 0.01 duplications day⁻¹). 1695 For the treatments over time, the only one that showed a significant difference (p =1696 0.0096) was R, which on day 17 (0.35 \pm 0.02 duplications day⁻¹) was lower than on day $34 (0.40 \pm 0.12 \text{ duplications} \cdot \text{day}^{-1}).$ 1697





Figure 1: Cell viability (a), organic matter (b) and DMS (c) over time. C – control; S – skimmer; W –
Washing; SW – skimmer + washing; R – Removal; I – culture inoculum. Letters indicate significant
differences (p<0.05) in treatments (lowercase) and over time (uppercase).

1704 **7.3.2 Bacteria community**

1705 The density of cocci, bacilli, filamentous and total bacteria per μ L in the different ways 1706 of removing bacteria were analyzed in three sampling times: initial (0), intermediary (day 1707 34) and final (day 68) as showed in Figure 2. In the initial sampling of cocci (Figure 2a), 1708 the control C showed the highest (p < 0.0001) cocci density of $1.34 \pm 0.07 \times 10^4$ cells· μ L⁻¹, followed by S with $5.72 \pm 0.55 \times 10^3$ cells· μ L⁻¹ which was higher than the W with 5.74 1709 \pm 2.46 \times 10² cells·µL⁻¹, SW 5.95 \pm 1.64 \times 10² cells·µL⁻¹ and R 3.18 \pm 1.64 \times 10² 1710 cells· μ L⁻¹. At day 34, the pattern was the same, C presented the higher (p < 0.0001) 1711 density of $1.08 \pm 0.15 \times 10^4$ cells· μ L⁻¹ than S with $5.77 \pm 0.77 \times 10^3$ cells· μ L⁻¹ which 1712 was higher than W $3.25 \pm 0.72 \times 10^3$ cells· μ L⁻¹, SW ($2.16 \pm 0.35 \times 10^3$ cells· μ L⁻¹) and R 1713 $(2.11 \pm 0.46 \times 10^3 \text{ cells} \cdot \mu L^{-1})$. Although in the day 68, C treatment $(1.88 \pm 0.15 \times 10^4$ 1714 cells· μ L⁻¹) presented more cocci (p < 0.0001) than S (1.29 ± 0.08 × 10⁴ cells· μ L⁻¹), W 1715 $(1.10 \pm 0.04 \times 10^4 \text{ cells} \cdot \mu L^{-1})$ showed no difference from S but it was higher than SW 1716 $(2.09 \pm 0.06 \times 10^3 \text{ cells} \cdot \mu L^{-1})$ and R $(2.79 \pm 0.57 \times 10^3 \text{ cells} \cdot \mu L^{-1})$. Regarding treatments 1717 1718 over time, in control (C), there was no significant difference (p = 0.0009) between days 1719 0 and 34 that were lower than day 68. In S, days 0 and 34 were also similar (p < 0.0001) 1720 and lower than day 68. In W, there was a progressive increase (p < 0.0001) in the density of cocci, day 0 was lower than day 34 which was lower than day 68. In SW, day 0 was 1721 1722 lower (p = 0.0002) than 34 and 68. And in R, day 0 was lower (p = 0.0010) than day 34 1723 and day 68.



1725

Figure 2: Bacterial density over time of cocci (a), bacilli (b), filamentous (c) and total bacteria (d). C –
control; S – skimmer; W – Washing; SW – skimmer + washing; R – Removal. Letters indicate significant
differences (p<0.05) in treatments (lowercase) and over time (uppercase).

For bacilli, in the initial sampling, S presented $1.84 \pm 0.15 \times 10^4$ cells· μ L⁻¹ which was the 1730 highest (p < 0.0001) density, followed by C with $1.35 \pm 0.06 \times 10^4$ cells· μ L⁻¹, which was 1731 higher than W ($1.32 \pm 0.17 \times 10^3$ cells· μ L⁻¹), SW ($5.33 \pm 1.03 \times 10^2$ cells· μ L⁻¹) and R 1732 $(3.49 \pm 0 \times 10^2 \text{ cells} \cdot \mu L^{-1})$. On day 34, C presented $1.34 \pm 0.23 \times 10^4 \text{ cells} \cdot \mu L^{-1}$, that was 1733 the highest (p < 0.0001) density, followed by S with $6.22 \pm 0.46 \times 10^3$ cells·µL⁻¹ and W 1734 with $6.77 \pm 0.18 \times 10^3$ cells· μ L⁻¹ which were higher than SW with $3.79 \pm 0.63 \times 10^3$ 1735 cells· μ L⁻¹ and R with 4.81 ± 0.91 × 10³ cells· μ L⁻¹. On day 68, C presented 1.96 ± 0.14 × 1736 1737 10^4 cells· μ L⁻¹ with more (p < 0.0001) bacilli than S ($1.40 \pm 0.20 \times 10^4$ cells· μ L⁻¹) and W $(1.62 \pm 0.05 \times 10^4 \text{ cells} \cdot \mu L^{-1})$ which were more than what was found in SW (3.79 ± 0.63) 1738 $\times 10^3$ cells· μ L⁻¹) and R (4.81 ± 0.91 × 10³ cells· μ L⁻¹). Overtime, there was no significant 1739 difference in C between days 0 and 34 which were lower (p = 0.0119) than 68. In S (p =1740 1741 0.0002), the highest density was observed at the initial time, followed by time 68 with the 1742 lowest values at 34. W (p < 0.0001) presented the lowest density in 0, followed by 34 and 1743 68, respectively. In SW (p < 0.0001), 0 was lower than the other times 34 and 68. Just 1744 like R (p = 0.0001), 0 is lower than 34 and 68.

1745

In filamentous, in 0, C (8.20 \pm 0.62 \times 10² cells· μ L⁻¹) presented the highest density (p < 1746 0.0001), followed by S $(3.18 \pm 0.72 \times 10^2 \text{ cells} \cdot \mu L^{-1})$ and W $(2.67 \pm 0.54 \times 10^2 \text{ cells} \cdot \mu L^{-1})$, 1747 however, W was similar to R $(1.33 \pm 0.31 \times 10^2 \text{ cells} \cdot \mu L^{-1})$ which was similar to SW 1748 $(6.20 \pm 0 \times 10^{1} \text{ cells} \cdot \mu L^{-1})$. In 34 (p < 0.0001), S (2.62 ± 0.40 × 10^{3} \text{ cells} \cdot \mu L^{-1}) presented 1749 1750 the highest density, similar to C ($2.06 \pm 0.24 \times 10^3$ cells·µL⁻¹) which was similar to W $(1.80 \pm 0.48 \times 10^3 \text{ cells} \cdot \mu L^{-1})$ and higher than SW $(6.05 \pm 0.10 \times 10^2 \text{ cells} \cdot \mu L^{-1})$ and R 1751 $(9.84 \pm 0.21 \times 10^2)$ cells· μ L⁻¹ which were similar. In 68 (p = 0.0016), the highest density 1752 was observed in SW ($2.37 \pm 0.42 \times 10^3$ cells· μ L⁻¹), followed by the other treatments C 1753 $(1.04 \pm 0.34 \text{ cells}\cdot\mu\text{L}^{-1})$, S $(1.65 \pm 0.072 \times 10^3 \text{ cells}\cdot\mu\text{L}^{-1})$, W $(1.37 \pm 0.29 \times 10^3 \text{ cells}\cdot\mu\text{L}^{-1})$ 1754 cells· μ L⁻¹) and R (1.12 ± 0.21 × 10³ cells· μ L⁻¹). In C (p = 0.0017), there was no 1755 1756 significant difference between the initial and the final time, with the highest density at 34. 1757 In S (p < 0.0001), the highest density was observed on day 34, followed by 68 and 0. In W (p = 0.0030), T0 was lower than the other times 34 and 68. SW, T0 and T34 were 1758 1759 similar and lower than T68. In R (p = 0.0001) T0 was lower than the other times 34 and 1760 68.

1761

1762 In the initial sampling (p < 0.0001), there was no significant difference between the total bacteria in C ($2.78 \pm 0.13 \times 10^4$ cells· μ L⁻¹) and S ($2.45 \pm 0.21 \times 10^4$ cells· μ L⁻¹), higher 1763 than W (2.16 \pm 0.39 \times 10³ cells· μ L⁻¹), SW (1.19 \pm 0.27 \times 10³ cells· μ L⁻¹) and R (8.00 \pm 1764 1.11×10^2 cells· μ L⁻¹). In 34 (p < 0.0001), C presented $2.63 \pm 0.47 \times 10^4$ cells· μ L⁻¹, which 1765 was the highest concentration, followed by S with $1.46 \pm 0.03 \times 10^4$ cells· μ L⁻¹ and W 1766 with $1.18 \pm 0.11 \times 10^4$ cells· μ L⁻¹. W was similar to SW ($6.89 \pm 0.40 \times 10^3$ cells· μ L⁻¹) and 1767 R (7.01 \pm 0.52 \times 10³ cells·µL⁻¹). In 68, C (3.95 \pm 0.16 \times 10⁴ cells·µL⁻¹) was superior to 1768 S (2.85 \pm 0.17 \times 10⁴ cells· μ L⁻¹) and W (2.86 \pm 0.11 \times 10⁴ cells· μ L⁻¹) followed by SW 1769 $(8.25 \pm 0.98 \times 10^3 \text{ cells} \cdot \mu L^{-1})$ and R $(8.72 \pm 1.11 \times 10^3 \text{ cells} \cdot \mu L^{-1})$. In C (p = 0.0031), 1770 1771 there was no significant difference between time 0 and 34, and they were lower than 68. 1772 In S (p = 0.0001), the lowest density was found at time 34, then at the initial time, followed by 68. In W (p < 0.0001), the bacteria community has increased over time. 0 was lower 1773 than 34 which was lower than 68. In SW (p < 0.0001), the lowest value was found at the 1774

1775 initial time, which was lower than the other days 34 and 68. The same occurred in R, the

1776 lowest value was found at the initial time followed by days 34 and 68.

1777

1778 **7.3.3 Bacteria removal efficiency**

The bacteria removal efficiency rate was influenced by the treatment since the initial 1779 sampling (Figure 3). The concentrate that underwent treatment with the skimmer obtained 1780 1781 a removal rate of $26.83 \pm 32.53\%$, less efficient (p = 0.0024) than the other treatments, W with 91.05 ± 2.90 %, SW with 92.69 ± 5.17 % and R with 95.70 ± 2.70 %. On day 34, S 1782 1783 presented $37.43 \pm 13.23\%$, lower (p=0.0038) than SW with a rate of $74.60 \pm 4.85\%$ and R with $73.90 \pm 6.67\%$, however it was similar to W with $58.29 \pm 9.92\%$ which was similar 1784 to the others. On day 68, there was no significant difference between S $(27.01 \pm 17.74 \%)$ 1785 1786 and W (24.07 \pm 9.60 %), which were inferior to SW (74.34 \pm 9.73%) and R (72.49 \pm 11.04%). Overtime, S showed no significant difference (p=0.8195). W had a progressive 1787 decrease in removal efficiency (p = 0.0002), 0 was higher than 34, which was higher than 1788 68. SW showed a decrease in efficiency on day 34 and it was maintained until day 68. 1789 1790 The same happened with R, which showed a high removal efficiency on day 0, which was 1791 higher than on the days 34 and 68.

1792



Figure 3: Bacteria removal efficiency rate over time. S – skimmer; W – Washing; SW – skimmer + washing;
 R – Removal. Letters indicate significant differences (p<0.05) in treatments (lowercase) and over time (uppercase).

1798 7.3.4 Dissolved Organic Matter

1799 In the initial sampling, there was no difference (p = 0.0020) between control C (47.58 \pm 8.01 mg·L⁻¹) and treatments S (42.23 \pm 8.66 mg·L⁻¹) and SW (31.44 \pm 1.45 mg·L⁻¹), 1800 1801 followed by W ($30.76 \pm 1.91 \text{ mg} \cdot \text{L}^{-1}$) which was similar to S and SW and R (20.23 ± 6.02 mg·L⁻¹) (Figure 1b). On day 17 (p < 0.0001), C (134.40 \pm 8.59 mg·L⁻¹) presented the 1802 1803 highest concentration followed by S (106.75 \pm 12.80 mg·L⁻¹) which was higher than W $(65.49 \pm 2.65 \text{ mg} \cdot \text{L}^{-1})$, SW $(64.41 \pm 6.22 \text{ mg} \cdot \text{L}^{-1})$ and R $(59.46 \pm 5.66 \text{ mg} \cdot \text{L}^{-1})$. On day 1804 1805 34 (p < 0.0001), there was no difference between controls C (141.20 \pm 2.36 mg·L⁻¹) and R (116.60 \pm 13.36 mg·L⁻¹) and treatments S (161.33 \pm 8.26 mg·L⁻¹), W (100.34 \pm 1.88 1806 mg·L⁻¹) and SW (87.31 ± 69.66 mg·L⁻¹). In 51 (p < 0.0001), C (251.97 ± 3.54 mg·L⁻¹) 1807 and S (225.93 \pm 2.75 mg·L⁻¹) presented the highest concentrations, S was similar to SW 1808 1809 $(207.77 \pm 13.20 \text{ mg} \cdot \text{L}^{-1})$ which was higher than W $(143.13 \pm 18.85 \text{ mg} \cdot \text{L}^{-1})$ and C $(142.90 \text{ mg} \cdot \text{L}^{-1})$ 1810 \pm 3.03 mg·L⁻¹). On day 68, the highest (p < 0.0001) concentration was observed in SW $(669.20 \pm 38.90 \text{ mg} \cdot \text{L}^{-1})$, followed by C (441.80 \pm 11.35 mg $\cdot \text{L}^{-1})$ and S (504.35 \pm 1.25 1811 mg·L⁻¹) which was higher than W (324.25 \pm 6.95 mg·L⁻¹), and higher than R (90.54 \pm 1812 1813 $32.17 \text{ mg} \cdot \text{L}^{-1}$).

1814

1815 Overall, organic matter increased over time. In C (p < 0.0001), the lowest concentration 1816 was found in the initial sample ($47.58 \pm 8.01 \text{ mg} \cdot \text{L}^{-1}$), followed by days 17 (134.40 ± 8.59 mg·L⁻¹) and 34 (141.20 \pm 2.36 mg·L⁻¹), which were lower than day 51 (251.97 \pm 3.54 1817 1818 mg·L⁻¹), and day 68 (441.80 \pm 11.35 mg·L⁻¹). In S (p < 0.0001), all days were different. 1819 The initial sampling presented the lowest concentration ($42.23 \pm 8.66 \text{ mg} \cdot \text{L}^{-1}$), followed 1820 by day 17 (106.75 \pm 12.80 mg·L⁻¹), which was lower than day 34 (161.33 \pm 8.26 mg·L⁻ ¹), lower than day 51 (225.93 \pm 2.75 mg·L⁻¹) which was lower than day 68 (504.35 \pm 1.25 1821 1822 mg·L⁻¹). The same pattern was repeated in W (p < 0.0001); 0 ($30.76 \pm 1.91 \text{ mg} \cdot \text{L}^{-1}$) was 1823 lower than 17 (65.49 \pm 2.65 mg·L⁻¹), lower than 34 (100.34 \pm 1.88 mg·L⁻¹), lower than $51 (143.13 \pm 18.85 \text{ mg} \cdot \text{L}^{-1})$, and $68 (324.25 \pm 6.95 \text{ mg} \cdot \text{L}^{-1})$. In SW (p < 0.0001) there was 1824 no significant difference between the initial sample $(31.44 \pm 1.45 \text{ mg} \cdot \text{L}^{-1})$, day 17 (64.41 1825 \pm 6.22 mg·L⁻¹) and day 34 (87.31 \pm 69.66 mg·L⁻¹) which were lower than 51 (207.77 \pm 1826 13.20 mg·L⁻¹), and lower than 68 (669.20 \pm 38.90 mg·L⁻¹). In R, the highest (p < 0.0001) 1827 1828 concentration was found on day 51 (142.90 \pm 3.03 mg·L⁻¹) similar to day 34 (116.60 \pm 1829 13.36 mg·L⁻¹) which was similar to day 68 (90.54 \pm 32.17 mg·L⁻¹), similar to 17 (59.46 1830 \pm 5.66 mg·L⁻¹), similar to 0 (20.23 \pm 6.02 mg·L⁻¹).

1831

1832 **7.3.5 DMS**

1833 In the initial sampling, in S and in R, no DMS was detected, while in the control (C =1834 $0.54 \pm 0.37 \ \mu g \cdot L^{-1}$), in the washing treatment (W = $0.13 \pm 0.22 \ \mu g \cdot L^{-1}$) and in the 1835 combination of skimmer and washing (SW = $0.44 \pm 0.76 \,\mu g \cdot L^{-1}$) the concentrations found 1836 did not differ significantly from S and R (p = 0.3473) (Figure 1c). On day 17, the highest 1837 concentration (p<0.0001) was found in C (25.60 \pm 1.16 µg·L⁻¹) followed by S (13.67 \pm 2.97 μ g·L⁻¹) and W (9.49 ± 1.43 μ g·L⁻¹) which were statistically similar, and R (7.54 ± 1838 0.87 μ g·L⁻¹) was similar to W, and to SW (3.19 ± 1.53 μ g·L⁻¹) which presented the lowest 1839 1840 average. On day 34, C (20.23 \pm 8.07 µg·L⁻¹) presented the highest (p = 0.0014) concentration, followed by S (7.99 \pm 0.83 µg·L⁻¹), W (5.04 \pm 0.14 µg·L⁻¹), SW (2.38 \pm 1841 1842 0.34 $\mu g \cdot L^{-1}$) and R (8.40 \pm 0.49 $\mu g \cdot L^{-1}$), with no significant difference between treatments. The same happened on day 51 (p = 0.0005), C (25.65 \pm 2.98 µg·L⁻¹) was 1843 superior to the other treatments S (14.55 \pm 2.83 µg·L⁻¹), W (13.12 \pm 7.22 µg·L⁻¹), SW 1844 $(5.11 \pm 0.95 \ \mu g \cdot L^{-1})$ and R $(8.40 \pm 0.49 \ \mu g \cdot L^{-1})$. On day 68 (p < 0.0001), the values of C 1845 $(16.87 \pm 2.44 \ \mu g \cdot L^{-1})$, S $(12.06 \pm 1.16 \ \mu g \cdot L^{-1})$ and W $(14.99 \pm 0.30 \ \mu g \cdot L^{-1})$ were similar, 1846 S was similar to R $(7.31 \pm 2.89 \ \mu g \cdot L^{-1})$ which was similar to SW $(3.83 \pm 1.05 \ \mu g \cdot L^{-1})$. 1847

1848

In general, all treatments increased their DMS concentrations over time. In the control 1849 1850 (C), only the initial sampling $(0.54 \pm 0.37 \ \mu g \cdot L^{-1})$ was different from the other days, 17 $(25.60 \pm 1.16 \ \mu g \cdot L^{-1})$, 34 $(20.23 \pm 8.07 \ \mu g \cdot L^{-1})$, 51 $(25.65 \pm 2.98 \ \mu g \cdot L^{-1})$ and 68 $(16.87 \pm 1.16 \ \mu g \cdot L^{-1})$ 1851 1852 2.44 μ g·L⁻¹). In S, the day that presented the lowest concentration was the initial time 1853 $(0.13 \pm 0.22 \ \mu g \cdot L^{-1})$, which was similar to day 34 (7.99 $\pm 0.83 \ \mu g \cdot L^{-1})$, not differing from day 17 (13.67 \pm 2.97 µg·L⁻¹) and 51 (14.55 \pm 2.83 µg·L⁻¹), both similar to 68 (12.06 \pm 1854 1855 $1.16\mu g \cdot L^{-1}$). In W, although DMS was not detected initially, there was an increase in concentration. Day 34 (5.04 \pm 0.14 µg·L⁻¹) was similar to day 68 (14.99 \pm 0.30 µg·L⁻¹), 1856 which was similar to days 17 ($9.49 \pm 1.43 \ \mu g \cdot L^{-1}$) and 51 ($13.12 \pm 7.22 \ \mu g \cdot L^{-1}$). In SW, 1857 the initial sample $(0.44 \pm 0.76 \ \mu g \cdot L^{-1})$ was similar to day 34 $(2.38 \pm 0.34 \ \mu g \cdot L^{-1})$, which 1858 was similar to days 17 $(3.19 \pm 1.53 \ \mu g \cdot L^{-1})$ and 68 $(3.83 \pm 1.05 \ \mu g \cdot L^{-1})$ and had the highest 1859 value on day 51 (5.11 \pm 0.95 µg·L⁻¹). No DMS was detected in R on day 0, and the other 1860

1861 days 17 (7.54 \pm 0.87 µg·L⁻¹), 34 (6.73 \pm 1.89 µg·L⁻¹), 51 (8.40 \pm 0.49 µg·L⁻¹) and 68 (7.31 1862 \pm 2.89 µg·L⁻¹) did not differ statistically.

1863

1864 **7.4 Discussion**

The most efficient methods in removing bacteria and reducing the production of DOM and DMS throughout the storage of concentrates were washing repeated 5 times (R) and combining the use of the skimmer with washing (SW). Initially, the use of skimmer (S) was the only method that was not efficient, removing about 26% of the total bacteria, a concentration much lower than the average of other treatments, which were greater than 90%. However, although the washing process repeated only 3 times (W) showed a high initial bacterial removal rate, after 68 days of storage this rate dropped to around 24%.

1872

1873 An efficient method used to remove bacteria, in addition to allowing a high removal rate, 1874 it cannot influence the cellular viability of microalgae. It was observed in this and 1875 previous experiments (Couto et al. 2024) that the viability of Nannochloropsis oceanica 1876 is not influenced by the collection and cleaning method. Nannochloropsis ssp. is known 1877 to be a microalga with an extremely resistant cell wall (Beacham et al. 2014) remaining 1878 viable even after the use of high mechanical force (Bernaerts et al. 2019). For this reason, 1879 despite being an ideal species to be used in concentrates, it may not be the best biological model to test the effect of these methods on the viability of microalgae. The genus 1880 1881 Isochrysis, on the other hand, in addition to being widely used in the production of 1882 concentrates, is susceptible to cell breakdown after the use of mechanical force (Balduyck 1883 et al. 2016, 2019).

1884

Although at first glance it appears that the skimmer did not influence the bacterial community, it was efficient in reducing more than 50% of cocci (Figure 2a) and presenting more bacilli than even the control itself (Figure 2b), showing that the reduction of specific groups of bacteria favors the increase of other groups. In work not yet published, a metagenomic analysis was carried out to identify these bacteria. 13 genera were identified, among the most abundant are *Erythrobacter*, *Oceanicaulis* and *Roseobacter*, that was also identified and is mainly responsible to produce DMS.
1892 Although the cultivation is in constant mixing because of aeration, dead zones can still be 1893 formed in the reactors where the cultivation remains static (Kubelka et al. 2017, 2018). 1894 In these zones, groups of bacteria may be favored by a lack of light and the presence of 1895 organic matter. In the reactors used in this experiment, the skimmer was installed in the 1896 upper region of the water column, where photosynthetic groups such as Erithrobacter 1897 bacteria would concentrate. Therefore, the removal of these bacteria can favor the growth 1898 of other groups such as Roseobacter, which is undesirable, since it is responsible for the 1899 production of DMS.

1900

Although no external sources of nutrients were added to the concentrates, in all treatments there was an increase in bacterial density due to the increase in organic matter, which may have been caused by cell death and EPS production by microalgae (Koçer et al. 2021). In the final sampling, the SW treatment presented a higher concentration of organic matter than that found in the control, as the bacterial load in this treatment was low, the accumulation of organic matter may have occurred due to not having enough bacteria to use the organic matter for their metabolism as happened with control and treatment S.

1908

1909 **7.5** Conclusion

1910 The results presented here show that to reduce bacterial growth in concentrates and 1911 consequently reduce the concentration of DOM and DMS, it is necessary to use a washing 1912 process combined with the use of the skimmer or repeating this process more times. As 1913 seen, the use of the skimmer alone is not enough, despite buying a skimmer is expensive 1914 and as it is sensitive equipment, which requires specialized labor to operate. Washing has 1915 been shown to be a fundamental process and depending on the number of times it is 1916 repeated, it can significantly improve the quality of the concentrates. Therefore, it is 1917 necessary to optimize this method, seeking to increase bacterial removal and reduce costs.

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2041 Abstract

2042 The objective of this study was to decrease bacterial contamination and improve the net 2043 benefit-cost of the process while preserving the integrity of microalgae cells. The washing 2044 process conditions were optimized to increase the rate of bacterial removal, making the 2045 process economically viable without impacting cell viability. To this, a Central Composite Rotational Design with four variables and two levels (CCRD 2⁴) was 2046 2047 performed, generating 27 experimental units (runs). Cell viability was determined by 2048 subculture in culture medium and growth rate calculation. The rate of bacterial removal 2049 was estimated by directly counting bacteria in the concentrate before and after undergoing 2050 the washing process, and a Partial Budget Analysis estimated the net benefit-cost for each 2051 run. The experimental models of each response were combined using the desirability 2052 index to find the combination that generated the best result in all responses. The best 2053 relation between cell viability, bacterial removal efficiency rate, and PBA was found by 2054 repeating the process 5 times at 3.405 g-force at 24°C for 20 minutes. To make the 2055 washing process economically viable, it was necessary to increase the sales price by 10%, 2056 which is justified by the increase in shelf life that the process generates.

2057 Keywords: Microalgae; net benefit-cost; washing process; microalgae; bacteria;
2058 contamination; cost decrease

2059

2060 8.1 Introduction

2061 Nannochloropsis oceanica is one of the microalgae with the greatest potential for large-2062 scale production (Borowitzka, 2013). It is widely known for its potential in the production 2063 of biofuels and nutraceuticals. It presents aquaculture applications as a live feed due to its composition, mainly due to its high content of protein and fatty acids such as 2064 2065 eicosapentaenoic acid – EPA and docasahexaenoic acid - DHA (Dewi et al., 2018; Saxena 2066 et al., 2023). In addition, it is a microalgae that withstands large environmental variations 2067 and can be cultivated in alternative media that allow for the reduction of its production 2068 costs (Couto et al., 2024).

2069

However, in large-scale cultivation of this microalgae, it is nearly impossible to maintain an axenic culture, that is, without bacterial contamination. Some works showed that combining microalgae and bacteria is often better than using either alone. Some groups 2073 of bacteria can produce compounds such as B vitamins that are not synthesized by 2074 microalgae, enhancing their growth. Like bacteria, microalgae can produce compounds 2075 that are essential for the growth of bacteria (Natrah et al., 2014). As microalgae culture 2076 becomes denser, cultivation conditions may become less favorable and nutrient depletion 2077 and shadowing effect may occur. In addition, the life cycle of microalgae reaches the end 2078 (Kubelka et al., 2018). Therefore, cells begin to die, causing organic matter to build up 2079 over time (Zuo, 2019), which in turn serves as substrates for bacterial growth (Giroldo et 2080 al., 2007). Other secondary metabolites from microalgae can also benefit and stimulate 2081 bacterial proliferation (Natrah et al., 2014).

2082

While it is not possible to completely halt bacterial growth in crops, it is critical to minimize the bacterial load in the produced biomass (Couto et al., 2024). Beyond ensuring higher purity for microalgae products, it also minimizes the risks associated with excessive bacterial growth, which can shorten product shelf life and lead to the production of potentially toxic compounds (Dan et al., 2018).

2088

2089 Washing is a process that consists of resuspending the collected biomass in aseptic salt 2090 water and centrifuging until there is a separation between the microalgae that settle and 2091 other smaller particles, such as bacteria (Couto et al., 2024; Roselet et al., 2019). After 2092 centrifugation, this supernatant water containing bacteria is discarded, and the 2093 microalgae-settled pellet can be used. The efficiency of the washing process may depend 2094 on the frequency, centrifugation velocity, temperature, and process time. These conditions 2095 need to be optimum so that the microalgae settle without affecting the viability of the 2096 cells and maximum bacterial load is removed.

2097

Given the numerous variables and responses, a highly effective approach is to use experimental design to optimize these conditions. This allows for the simultaneous evaluation of multiple variables in a single experiment while also identifying their interactions (Couto et al., 2021; Kirrolia et al., 2014). Using the desirability index, multiple responses can be combined to obtain the ideal condition that meets all needs.

- 2103 Thus, this study aimed to reduce bacterial contamination and improve the process's net
- 2104 benefit-cost while preserving the integrity of microalgae cells.
- 2105

2106 8.2 Materials and methods

2107 8.2.1 Obtaining the microalgae paste

2108 In this experiment, a Nannochloropsis oceanica paste with approximately 25% dry matter 2109 produced by the company AlgaSul Biotecnologia de Microalgas was used. This microalgae was grown in a YCB medium (Couto et al., 2021) in natural seawater with a 2110 $28 \text{ g} \cdot \text{L}^{-1}$ salinity for 15 days under natural environmental conditions of light, photoperiod, 2111 2112 and temperature. During cultivation, the pH was adjusted daily to 8, and a new load of 2113 YCB was added every 5 days. The microalgae were then collected in a disc centrifuge 2114 with a flow rate of 20 $L \cdot h^{-1}$, and the paste was stored at 4°C until the time of the 2115 experiment.

2116

2117 8.2.2 Experimental Design

A Central Composite Rotational Design with 4 factors and 2 levels (DCCR 2^4) were applied to optimize the washing process conditions. In this design, the number of process repetitions (X₁), centrifugation velocity in g-force (X₂), temperature in °C (X₃), and time in minutes (X₄) were analyzed, totaling 27 experimental units. These units were represented by falcon tubes (50 mL) with 40 mL of useful volume.

2123

2124 Approximately 8 g of paste and 40 mL of artificial seawater (VeroSal Corais) with a final density of 200 g \cdot L⁻¹ were placed in each experimental unit, and then each falcon was 2125 2126 subjected to the conditions of its test defined in Table 1. After each centrifugation round, 2127 the efficiency was estimated as described in item 2.5.1. Then, the new paste obtained was 2128 diluted and adjusted to a concentration of 5 billion cells per mL, and a sample was 2129 collected to determine cell viability and bacterial density (items 2.3 and 2.4). From these 2130 results, the bacteria removal efficiency rate (item 2.3.4) and the process cost analysis 2131 (item 2.3.5) were calculated.

| Run | Repetitions | | g-Force | | Temperature (°C) | | Time (min) | | k (division∙d⁻ ¹) | Bacteria removal rate (%) |
|-----|-------------|------|---------|------|------------------|------|------------|------|----------------------|---------------------------------|
| 1 | 2 | (-1) | 2.000 | (-1) | 6 | (-1) | 10 | (-1) | 0.102 | 78.15 |
| 2 | 2 | (-1) | 2.000 | (-1) | 6 | (-1) | 20 | (+1) | 0.098 | 69.63 |
| 3 | 2 | (-1) | 2.000 | (-1) | 18 | (+1) | 10 | (-1) | 0.147 | 70.67 |
| 4 | 2 | (-1) | 2.000 | (-1) | 18 | (+1) | 20 | (+1) | 0.073 | 69.09 |
| 5 | 2 | (-1) | 2.835 | (+1) | 6 | (-1) | 10 | (-1) | 0.000 | 72.64 |
| 6 | 2 | (-1) | 2.835 | (+1) | 6 | (-1) | 20 | (+1) | 0.015 | 73.29 |
| 7 | 2 | (-1) | 2.835 | (+1) | 18 | (+1) | 10 | (-1) | 0.114 | 68.92 |
| 8 | 2 | (-1) | 2.835 | (+1) | 18 | (+1) | 20 | (+1) | 0.104 | 70.07 |
| 9 | 4 | (+1) | 2.000 | (-1) | 6 | (-1) | 10 | (-1) | 0.000 | 83.40 |
| 10 | 4 | (+1) | 2.000 | (-1) | 6 | (-1) | 20 | (+1) | 0.124 | 56.80 |
| 11 | 4 | (+1) | 2.000 | (-1) | 18 | (+1) | 10 | (-1) | 0.135 | 80.39 |
| 12 | 4 | (+1) | 2.000 | (-1) | 18 | (+1) | 20 | (+1) | 0.112 | 60.95 |
| 13 | 4 | (+1) | 2.835 | (+1) | 6 | (-1) | 10 | (-1) | 0.089 | 76.02 |
| 14 | 4 | (+1) | 2.835 | (+1) | 6 | (-1) | 20 | (+1) | 0.093 | 63.30 |
| 15 | 4 | (+1) | 2.835 | (+1) | 18 | (+1) | 10 | (-1) | 0.086 | 74.55 |
| 16 | 4 | (+1) | 2.835 | (+1) | 18 | (+1) | 20 | (+1) | 0.146 | 72.04 |
| 17 | 1 | (-2) | 2.417 | 0 | 12 | 0 | 15 | 0 | 0.075 | 59.26 |

Table 1: Central Composite Rotational Design (CCRD 24) with real and coded values of the number of process repetitions, centrifugation velocity (g-force), temperature (°C), time (min) and experimental responses for cell viability (duplications day-1) and bacteria removal rate (%).

| 18 | 5 | (+2) | 2.417 | 0 | 12 | 0 | 15 | 0 | 0.092 | 68.87 |
|----|---|------|-------|------|----|------|----|------|-------|-------|
| 19 | 3 | 0 | 1.583 | (-2) | 12 | 0 | 15 | 0 | 0.099 | 69.96 |
| 20 | 3 | 0 | 3.405 | (+2) | 12 | 0 | 15 | 0 | 0.074 | 67.12 |
| 21 | 3 | 0 | 2.417 | 0 | 0 | (-2) | 15 | 0 | 0.081 | 82.20 |
| 22 | 3 | 0 | 2.417 | 0 | 24 | (+2) | 15 | 0 | 0.124 | 74.99 |
| 23 | 3 | 0 | 2.417 | 0 | 12 | 0 | 5 | (-2) | 0.085 | 63.95 |
| 24 | 3 | 0 | 2.417 | 0 | 12 | 0 | 25 | (+2) | 0.022 | 46.31 |
| 25 | 3 | 0 | 2.417 | 0 | 12 | 0 | 15 | 0 | 0.103 | 50.74 |
| 26 | 3 | 0 | 2.417 | 0 | 12 | 0 | 15 | 0 | 0.087 | 57.78 |
| 27 | 3 | 0 | 2.417 | 0 | 12 | 0 | 15 | 0 | 0.055 | 53.85 |
| | | | | | | | | | | |

2137 8.2.3 Cell viability

2138 Cell viability was determined by calculating the specific growth rate (k) described in 2139 Equation 1. Samples from each experimental unit were inoculated in f/2 medium (Guillard, 1975) at 21°C with a light intensity of 100 µmol photons m⁻² s⁻¹ and a 2140 photoperiod of 12:12 light:dark with an initial cell density of 6.0×10^6 cells·mL⁻¹. The 2141 2142 tubes were manually homogenized, and the cell density was determined initially (DOi) 2143 and after 6 days of culture (DOf) by cell counting in a Neubauer chamber at 400x 2144 magnification. Cell viability was then calculated using Equation 1 of the specific growth 2145 rate (k).

2146

2147
$$k(division d^{-1}) = \frac{3.322}{(t-t_0) \times Log(\frac{DOf}{DOi})}$$
 Equation 1

2148

2149 8.2.4 Bacteria removal efficiency

Bacterial density was determined by direct counting under an epifluorescence 2150 2151 microscope. Samples from each experimental unit were diluted (10,000x) and stored in a 2152 4% formaldehyde solution until analysis. Samples were then filtered through 0.2 µm pore-2153 size darkened polycarbonate filters at a vacuum pressure of less than 5 cmHg (Giraldo et 2154 al., 2019). Samples were incubated for 10 min in a 0.1% acridine orange solution (Lian 2155 et al., 2022). The filters were removed, dried, and placed on a counting slide with 2156 immersion oil. Counts were performed in 20 random fields under a Zeiss Axiopla epifluorescence microscope. The final bacterial density was calculated using Equation 2. 2157

2158

2159 Total Bacterial Cell Count/
$$\mu L = \frac{A \times (\frac{B}{C})}{1000}$$
 Equation 2

2160

2161 Where A = average count per field; B = filtration area (mm²); C = area of the counting 2162 field (mm²); D = sample volume (mL).

The bacterial removal efficiency rate was determined from the relationship between the bacterial density in the concentrate before the washing process (Bc) and the density of each experimental unit (Bu), as described in Equation 3.

2167

2168 Removal efficiency (%) =
$$\frac{(Bc-Bu)}{Bc}x100$$
 Equation 3

2169

2170 8.2.5 Partial Budget Analysis

A partial budget analysis (PBA) considering the costs and revenues associated with the addition of the washing process in the production of *Nannochloropsis oceanica* concentrates was performed to estimate the net benefit-cost of this process change (Engle, 2010). For this, the different revenue and associated costs were calculated for each of the 27 experimental trials. All the values utilized in this analysis were converted from Brazilian Reais (BRL) to dollars (USD) based on the exchange rate in May 2024, which was \$1.00 BRL = \$0.20 USD. Cost data used in the PBA are expressed in Table 2.

2178

2179Table 2: Price of items considered in the Partial Budget Analysis (PBA) for the addition of the washing2180process in the production of Nannochloropsis oceanica concentrates

| Item | Description | Unit | \$ |
|--------------|---------------------------------|-----------------------|----------|
| Centrifuge | Capacity of 3 L | \$ | 6,183.00 |
| Depreciation | Useful life of 10 years | \$•hour ⁻¹ | 0.07 |
| Energy | Value for the month of May 2024 | kW·hour ⁻¹ | 0.17 |
| Labor | Value for the year 2024 | \$∙hour ⁻¹ | 1.83 |

2181

2182 8.2.5.1 Total additional revenue

Calculating the total additional revenue required an initial assessment of biomass loss
during centrifugation, which we determined by calculating the centrifugation efficiency.
For this, two optical density measurements at 750 nm were made in each experimental
unit, an initial (DOi) taken before centrifugation and a final (DOf), after each process
established in its experimental test according to Equation 4.

2189Centrifugation efficiency (%) =
$$100 - \frac{(20f+100)}{Dol}$$
Equation 42190To calculate the revenue (Equation 5), the selling price of 400g of *N. oceanica* paste in2191the form of concentrate (\$406.37), and the centrifugation efficiency were considered.2193Gross receipt (\$) = $\frac{$406.37 \cdot Centrifugation efficiency}{100}$ Equation 52194Gross receipt (\$) = $\frac{$406.37 \cdot Centrifugation efficiency}{100}$ Equation 52195The revenue for each run was calculated by the difference between the reference sales2197price of 400g of the concentrate and gross receipt. When values are positive or negative,2198they are considered additional or reduced revenue, respectively (Equation 6).2199Revenue (\$) = \$406.37 - Gross receiptEquation 62200Revenue (\$) = \$406.37 - Gross receiptEquation 622012202S.2.5.2Total additional costs2203Total additional costs (Equation 7) were calculated by adding the interest on investment2204(IOI), the cost of energy (EC) and labor (LC), 5% interest on operating costs (IOC), and2205Total additional costs (\$) = 101 + EC + LC + 10C + DBPEquation 72206To calculate the IOI (Equation 8), the depreciation of the equipment per hour of use was2201To calculate the IOI (Equation 8), the depreciation of the equipment per hour of use was2205To calculate the IOI (Equation 8), the depreciation of the equipment per hour of use was220610 years, the average depreciation per hour of use was \$0.07.2212101 (\$) = \$0.07 * time (h) * repetitionEqu

2217 maximum power in each experimental test. To calculate the power (kW) for each run, the 2218 average power was taken at each temperature and rotation setting. The power correlation 2219 is established based on the equipment's maximum capacity of 1.5 kW, where: The 2220 maximum rotation (4000 RPM) and the minimum temperature (-4°C) corresponded to 2221 1.5 kW; The minimum rotation (2600 RPM) corresponded to 0.975 kW; The maximum 2222 temperature (24°C) corresponded to 0.051 kW. 2223 Equation 9 2224 EC(\$) = Repetition * kWh(\$) * time(h) * kW2225 2226 To calculate the labor cost (LC - Equation 10), it was considered that the average wage 2227 for rural workers in Brazil in 2024, which was \$1.83 per hour (Talent.com, 2024), 2228 multiplied by the time of each process, and the number of times the process was repeated. 2229 2230 LC(\$) = 1.83(\$) * repetition * time(h)Equation 10 2231 2232 The IOC was calculated taking into account 5% interest on energy and labor costs

The IOC was calculated taking into account 5% interest on energy and labor costs (Equation 11).

2234

| 2235 | IOC (\$) = (EC + LC) * 5% | Equation 11 |
|------|---------------------------|-------------|
| 2236 | | |

Finally, the depreciation per process (DBP – Equation 12) was calculated considering that the average depreciation of equipment per hour is \$0.07 multiplied by the time in hours of each process and the number of times the process is repeated.

2240

2247 Net Benefit – Cost = Total Additional Benefits – Total Additional Costs

2248

2249

2250 8.2.6 Statistical analysis

2251 First, the data were analyzed for normality and homogeneity using the Shapiro-Wilk and 2252 Levene tests. The effects and interactions of the factors number of process repetitions, 2253 centrifugation speed, temperature, and time, were evaluated by an ANOVA with a 2254 significance level of 5% in a Central Composite Rotational Design (CCRD). Based on 2255 the experimental results obtained, an empirical polynomial quadratic model was adjusted 2256 to correlate the independent variables and the response (Equation 14). The resulting 2257 models of the responses, cell viability, bacteria removal efficiency rate, and PBA, were 2258 then combined through the desirability index function to find the combination that meets 2259 all these responses. All analyses were performed using the design of experiments package 2260 for the STATISTICA software version 13.3 (TIBCO Software Inc, USA).

2261

2262
$$Y = \beta_0 + \beta_1 X_1 + \beta_2 X_2 + \beta_3 X_3 + \beta_4 X_4 + \beta_{11} X_1^2 + \beta_{22} X_2^2 + \beta_{33} X_3^2 + \beta_{44} X_4^2 + \beta_{22} X_2^2 + \beta_{33} X_3^2 + \beta_{44} X_4^2 + \beta_{11} X_1^2 + \beta_{22} X_2^2 + \beta_{33} X_3^2 + \beta_{44} X_4^2 + \beta_{11} X_1^2 + \beta_{12} X_2^2 + \beta_{13} X_3^2 + \beta_{14} X_4^2 + \beta_{13} X_3^2 + \beta_{14} X_4^2 + \beta_{14} X_$$

2263 $\beta_{12}X_1X_2 + \beta_{13}X_1X_3 + \beta_{14}X_1X_4 + \beta_{23}X_2X_3 + \beta_{24}X_2X_4 + \beta_{34}X_3X_4$ Equation 14 2264

2265 Where Y represents the response variable (cell viability, bacteria removal efficiency rate, 2266 and PBA), β is the coefficient of the equation, and X₁, X₂, X₃, and X₄ are the coded levels 2267 of the independent variables.

2268

2269 8.3 Results

2270 8.3.1 Cell viability

The data demonstrate that the only parameter that influenced cell viability was temperature (p = 0.0190; R²= 0.62), with a significant positive effect. The higher the temperature, the higher the viability, with an average of 0.089 ± 0.040 duplications days⁻¹, ranging from 0 to 0.177. According to the generation generated by the model (Figure 1, Equation 15), the ideal temperature to maintain cell viability is 24°C.

2276

Equation 13

2277
$$k (duplications \cdot day^{-1}) = 0.0864 + 0.0069 * X_3$$
 Equation 15

- 2278
- 2279 Where, X_3 = temperature in °C.



2281

Figure 1: Profiles for predicted values and overall desirability as a function of washing process variables for Bacteria removal efficiency (%), Partial Budget Analysis, and Cell viability (duplications per day).

2284

2285 8.3.2 Bacteria removal efficiency

The data showed that time was the only variable that had a significant negative linear effect (p=0.0004). The number of repetitions (p=0.0049), centrifugation speed (p=0.0006), and temperature (p<0.0001) showed a positive quadratic effect. Two interactions were observed: a negative one between the number of repetitions and time (p=0.0106) and a positive one between centrifugation speed and time (p=0.0314). According to the model ($R^2 = 0.86$; Figure 1; Equation 16), the highest bacterial removal rate was obtained by repeating the process 4 times at 3.405 g-force, 24°C for 25 minutes.

| 2275 | | |
|------|---|------------------------|
| 2294 | Bacteria removal (%) = $55.95 + 2.92 * X_1^2 + 4.03 * X_2^2 + 6.55 * X_3^2$ | $X_3^2 - 4.37 * X_4 -$ |
| 2295 | $3.31 * X_1 * X_4 + 2.67 * X_2 * X_4$ | Equation 16 |
| 2296 | | |

2297 Where, X_1 = number of repetitions of the process; X_2 = g-force; X_3 = temperature in °C 2298 and X_4 = time in minutes.

2299

2203

2300 8.3.3 Partial Budget Analysis

2301 The efficiency of centrifugation was influenced by the four variables analyzed in the 2302 process: number of repetitions, centrifugation speed, temperature, and time. These factors 2303 were tested to optimize the washing process of Nannochloropsis oceanica concentrates. 2304 The centrifugation efficiency was calculated in each experimental unit and ranged from 2305 69.59% to 98.86 with an average of $92.38 \pm 6.45\%$ (Table 3). The results demonstrated that all variables influenced the centrifugation efficiency ($R^2 = 0.86$), either independently 2306 2307 or through interactions. Centrifugation speed and time had a significant positive effect 2308 (p<0.0001), meaning that when increasing it, higher efficiency is observed. In contrast, 2309 temperature showed a significant negative effect (p < 0.0001), indicating that higher 2310 temperatures reduced centrifugation efficiency. The number of repetitions, centrifugation 2311 speed, and time exhibited positive interactions with one another, while temperature 2312 demonstrated negative interactions with both the number of repetitions and time. 2313 According to the statistical model generated, the best result was obtained by repeating the process 5 times, with 3.405 g, 0°C for 25 min. Additionally, gross revenue was primarily 2314 2315 affected by the loss of biomass centrifugation efficiency, resulting from biomass loss 2316 during the centrifugation process. This led to a revenue reduction ranging from \$123.59 2317 to \$4.65 (Table 3). Meanwhile, the total process costs varied between \$0.55 and \$2.96 2318 (Table 3).

2319

In the current scenario (Table 4), with a selling price of \$406.37, all net benefit/cost values were negative, indicating that this selling value does not cover the additional costs associated with the changes in the process. Therefore, a scenario of a 10% sales price increase was evaluated (Table 5) since the washing process extends the biomass shelf life (Couto et al., 2024). In this scenario, the optimal net benefit-cost was observed when

- repeating the process 5 times at 3.405 g-force at 18°C for 25 minutes (Equation 17). Using the desirability index function (Figure 1), the combination for maximizing cell viability, bacteria removal efficiency, and PBA is to repeat the process 5 times at a 3.405 g-force at 24°C for 20 minutes.
- 2329
- 2330 PBA (\$) = 14.55 + 8.28 * X_2 7.93 * X_3 + 21.04 * X_4 10.88 * X_4^2 Equation 17
- 2331
- 2332 Where, $X_2 = g$ -force; $X_3 =$ temperature in °C and $X_4 =$ time in minutes.

| Run | Benefits | | | Costs | Costs | | | | | | | |
|-----|---------------|----------------|----------------|----------|---------|---------|----------|----------------|---------|--|--|--|
| | CE (%) | GR (\$) | RR (\$) | IOI (\$) | EC (\$) | LC (\$) | IOC (\$) | DP (\$) | AC (\$) | | | |
| 1 | 91.44 | 371.61 | 34.77 | 0.01 | 0.06 | 0.62 | 0.03 | 0.02 | 0.74 | | | |
| 2 | 94.16 | 382.65 | 23.72 | 0.02 | 0.12 | 1.23 | 0.07 | 0.05 | 1.49 | | | |
| 3 | 81.19 | 329.95 | 76.42 | 0.01 | 0.04 | 0.62 | 0.03 | 0.02 | 0.73 | | | |
| 4 | 91.49 | 371.78 | 34.59 | 0.02 | 0.08 | 1.23 | 0.07 | 0.05 | 1.45 | | | |
| 5 | 92.16 | 374.52 | 31.85 | 0.01 | 0.07 | 0.62 | 0.03 | 0.02 | 0.75 | | | |
| 6 | 98.29 | 399.44 | 6.93 | 0.02 | 0.13 | 1.23 | 0.07 | 0.05 | 1.51 | | | |
| 7 | 83.68 | 340.06 | 66.31 | 0.01 | 0.05 | 0.62 | 0.03 | 0.02 | 0.73 | | | |
| 8 | 98.03 | 398.37 | 8.00 | 0.02 | 0.10 | 1.23 | 0.07 | 0.05 | 1.47 | | | |
| 9 | 92.47 | 375.77 | 30.61 | 0.02 | 0.12 | 1.23 | 0.07 | 0.05 | 1.49 | | | |
| 10 | 96.38 | 391.66 | 14.71 | 0.05 | 0.24 | 2.47 | 0.14 | 0.09 | 2.98 | | | |
| 11 | 84.60 | 343.77 | 62.60 | 0.02 | 0.08 | 1.23 | 0.07 | 0.05 | 1.45 | | | |
| 12 | 92.59 | 376.27 | 30.10 | 0.05 | 0.17 | 2.47 | 0.13 | 0.09 | 2.91 | | | |
| 13 | 96.26 | 391.17 | 15.21 | 0.02 | 0.13 | 1.23 | 0.07 | 0.05 | 1.51 | | | |
| 14 | 98.86 | 401.72 | 4.65 | 0.05 | 0.27 | 2.47 | 0.14 | 0.09 | 3.01 | | | |
| 15 | 88.81 | 360.89 | 45.48 | 0.02 | 0.10 | 1.23 | 0.07 | 0.05 | 1.47 | | | |
| 16 | 98.42 | 399.96 | 6.41 | 0.05 | 0.20 | 2.47 | 0.13 | 0.09 | 2.94 | | | |
| 17 | 93.71 | 380.82 | 25.55 | 0.01 | 0.04 | 0.46 | 0.03 | 0.02 | 0.55 | | | |

Table 3: Benefits and costs considered in the PBA for each run. Centrifugation efficiency (CE), Gross receipt (GR), Reduced revenue (RR), Interest over investment (IOI), Cost with energy (EC), Labor cost (LC), Interest on operating costs (IOC), Depreciation by process (DP) and Additional costs (AC).

| 18 | 93.30 | 379.16 | 27.21 | 0.04 | 0.20 | 2.31 | 0.13 | 0.09 | 2.77 |
|----|-------|--------|--------|------|------|------|------|------|------|
| 19 | 91.27 | 370.90 | 35.48 | 0.03 | 0.11 | 1.39 | 0.07 | 0.05 | 1.65 |
| 20 | 98.43 | 400.01 | 6.36 | 0.03 | 0.14 | 1.39 | 0.08 | 0.05 | 1.68 |
| 21 | 95.37 | 387.54 | 18.83 | 0.03 | 0.16 | 1.39 | 0.08 | 0.05 | 1.71 |
| 22 | 94.64 | 384.58 | 21.79 | 0.03 | 0.08 | 1.39 | 0.07 | 0.05 | 1.62 |
| 23 | 69.59 | 282.78 | 123.59 | 0.01 | 0.04 | 0.46 | 0.03 | 0.02 | 0.55 |
| 24 | 98.76 | 401.35 | 5.02 | 0.04 | 0.20 | 2.31 | 0.13 | 0.09 | 2.77 |
| 25 | 92.47 | 375.78 | 30.59 | 0.03 | 0.12 | 1.39 | 0.08 | 0.05 | 1.66 |
| 26 | 95.53 | 388.21 | 18.16 | 0.03 | 0.12 | 1.39 | 0.08 | 0.05 | 1.66 |
| 27 | 92.39 | 375.43 | 30.94 | 0.03 | 0.12 | 1.39 | 0.08 | 0.05 | 1.66 |

2336Table 4: PBA for the addition of the washing process in the production of Nannochloropsis oceanica concentrates. Additional revenue (AR), Reduced costs (RC), Total additional
benefits (TAB), Additional costs (AC), Reduced revenue (RR) and Total additional costs (TAC).

| | Benefits | | | Costs | Net | | |
|-------|----------------|---------|-----------------|---------|----------------|-----------------|-------------------|
| Run _ | AR (\$) | RC (\$) | TAB (\$) | AC (\$) | RR (\$) | TAC (\$) | Benefit-cost (\$) |
| 1 | 0 | 0 | 0 | 0.74 | 34.77 | 35.51 | -35.51 |
| 2 | 0 | 0 | 0 | 1.49 | 23.72 | 25.21 | -25.21 |
| 3 | 0 | 0 | 0 | 0.73 | 76.42 | 77.15 | -77.15 |
| 4 | 0 | 0 | 0 | 1.45 | 34.59 | 36.04 | -36.04 |
| 5 | 0 | 0 | 0 | 0.75 | 31.85 | 32.60 | -32.60 |

| 6 | 0 | 0 | 0 | 1.51 | 6.93 | 8.44 | -8.44 |
|----|---|---|---|------|--------|--------|---------|
| 7 | 0 | 0 | 0 | 0.73 | 66.31 | 67.04 | -67.04 |
| 8 | 0 | 0 | 0 | 1.47 | 8.00 | 9.47 | -9.47 |
| 9 | 0 | 0 | 0 | 1.49 | 30.61 | 32.10 | -32.10 |
| 10 | 0 | 0 | 0 | 2.98 | 14.71 | 17.69 | -17.69 |
| 11 | 0 | 0 | 0 | 1.45 | 62.60 | 64.05 | -64.05 |
| 12 | 0 | 0 | 0 | 2.91 | 30.10 | 33.00 | -33.00 |
| 13 | 0 | 0 | 0 | 1.51 | 15.21 | 16.71 | -16.71 |
| 14 | 0 | 0 | 0 | 3.01 | 4.65 | 7.66 | -7.66 |
| 15 | 0 | 0 | 0 | 1.47 | 45.48 | 46.95 | -46.95 |
| 16 | 0 | 0 | 0 | 2.94 | 6.41 | 9.35 | -9.35 |
| 17 | 0 | 0 | 0 | 0.55 | 25.55 | 26.10 | -26.10 |
| 18 | 0 | 0 | 0 | 2.77 | 27.21 | 29.99 | -29.99 |
| 19 | 0 | 0 | 0 | 1.65 | 35.48 | 37.12 | -37.12 |
| 20 | 0 | 0 | 0 | 1.68 | 6.36 | 8.05 | -8.05 |
| 21 | 0 | 0 | 0 | 1.71 | 18.83 | 20.53 | -20.53 |
| 22 | 0 | 0 | 0 | 1.62 | 21.79 | 23.42 | -23.42 |
| 23 | 0 | 0 | 0 | 0.55 | 123.59 | 124.15 | -124.15 |
| 24 | 0 | 0 | 0 | 2.77 | 5.02 | 7.79 | -7.79 |
| 25 | 0 | 0 | 0 | 1.66 | 30.59 | 32.26 | -32.26 |
| 26 | 0 | 0 | 0 | 1.66 | 18.16 | 19.83 | -19.83 |
| 27 | 0 | 0 | 0 | 1.66 | 30.94 | 32.61 | -32.61 |

2339Table 5: PBA for the addition of the washing process to the production of Nannochloropsis oceanica concentrates, considering a 10% increase in the selling price. Additional2340revenue (AR), Reduced costs (RC), Total additional benefits (TAB), Additional costs (AC), Reduced revenue (RR) and Total additional costs (TAC).

| D | Benefits | | | Costs | | | Net |
|-----|----------------|---------|-----------------|---------|----------------|-----------------|-------------------|
| Run | AR (\$) | RC (\$) | TAB (\$) | AC (\$) | RR (\$) | TAC (\$) | Benefit-cost (\$) |
| 1 | 2.37 | 0 | 2.37 | 0.74 | 0 | 0.74 | 1.63 |
| 2 | 14.53 | 0 | 14.53 | 1.49 | 0 | 1.49 | 13.04 |
| 3 | 0 | 0 | 0 | 0.73 | 43.45 | 44.17 | -44.17 |
| 4 | 2.60 | 0 | 2.60 | 1.45 | 0 | 1.45 | 1.14 |
| 5 | 5.59 | 0 | 5.59 | 0.75 | 0 | 0.75 | 4.84 |
| 6 | 32.99 | 0 | 32.99 | 1.51 | 0 | 1.51 | 31.49 |
| 7 | 0.00 | 0 | 0 | 0.73 | 32.31 | 33.05 | -33.05 |
| 8 | 31.83 | 0 | 31.83 | 1.47 | 0 | 1.47 | 30.36 |
| 9 | 6.98 | 0 | 6.98 | 1.49 | 0 | 1.49 | 5.49 |
| 10 | 24.46 | 0 | 24.46 | 2.98 | 0 | 2.98 | 21.48 |
| 11 | 0 | 0 | 0 | 1.45 | 28.20 | 29.66 | -29.66 |
| 12 | 7.51 | 0 | 7.51 | 2.91 | 0 | 2.91 | 4.61 |
| 13 | 23.92 | 0 | 23.92 | 1.51 | 0 | 1.51 | 22.41 |
| 14 | 35.54 | 0 | 35.54 | 3.01 | 0 | 3.01 | 32.53 |
| 15 | 0 | 0 | 0 | 1.47 | 0 | 10.85 | -10.85 |
| 16 | 33.57 | 0 | 33.57 | 2.94 | 0 | 2.94 | 30.64 |

| 17 | 12.52 | 0 | 12.52 | 0.55 | 0 | 0.55 | 11.97 |
|----|-------|---|-------|------|-------|-------|--------|
| 18 | 10.69 | 0 | 10.69 | 2.77 | 0 | 2.77 | 7.91 |
| 19 | 1.61 | 0 | 1.61 | 1.65 | 0 | 1.65 | -0.03 |
| 20 | 33.62 | 0 | 33.62 | 1.68 | 0 | 1.68 | 31.94 |
| 21 | 19.94 | 0 | 19.94 | 1.71 | 0 | 1.71 | 18.23 |
| 22 | 16.68 | 0 | 16.68 | 1.62 | 0 | 1.62 | 15.05 |
| 23 | 0 | 0 | 0 | 0.55 | 95.30 | 95.85 | -95.85 |
| 24 | 35.09 | 0 | 35.09 | 2.77 | 0 | 2.77 | 32.32 |
| 25 | 6.98 | 0 | 6.98 | 1.66 | 0 | 1.66 | 5.31 |
| 26 | 20.66 | 0 | 20.66 | 1.66 | 0 | 1.66 | 18.99 |
| 27 | 6.62 | 0 | 6.62 | 1.66 | 0 | 1.66 | 4.96 |

2342 **8.4 Discussion**

2343 The washing process was optimized to reduce bacterial contamination of 2344 Nannochloropsis oceanica concentrates and increase the net benefit-cost. To this end, 2345 four centrifugation variables were tested: number of times the process was repeated, 2346 centrifugation speed, temperature, and time. To be considered efficient, the washing 2347 process had to meet some requirements: not harm the cell viability of the microalgae, 2348 present a high rate of bacterial removal, and be economically viable. To meet all these 2349 requirements, it was necessary to evaluate different responses from the same design and 2350 find the combination that covers all these responses. In general, the number of repetitions, 2351 centrifugation speed, and time positively influenced the responses analyzed. The higher 2352 these values, the better the response obtained. The temperature, in turn, presented 2353 divergent effects. Although the decrease in temperature increased centrifugation 2354 efficiency, it decreased cell viability and the net benefit-cost. This difference made it 2355 necessary to combine the responses and thus obtain the ideal combination. The 2356 combination that provided the best result combining cell viability, bacteria removal 2357 efficiency and the net benefit-cost was repeating the process 5 times, with 3.405 g-force 2358 at 24°C for 20 minutes.

2359

2360 Washing is essential to maintain the quality of concentrates for longer (Couto et al., 2024). 2361 When centrifugation is not 100% efficient, it causes biomass losses that reduce expected 2362 revenue since the quantity sold is also lower. Thus, centrifugation efficiency directly 2363 impacts revenue and, consequently, the net benefit-cost of the process. Revenue is essential to making the process viable (Engle, 2010). In the case of concentrates, cell 2364 2365 viability cannot be affected since they are preferred in aquaculture due to the viability of 2366 their cells (Dineshbabu et al., 2019) that help maintain water quality, unlike dry diets 2367 (Borowitzka, 2013; Dineshbabu et al., 2019). In addition, there was also a gain in the 2368 shelf life of the concentrate because it reduces the concentration of bacteria, which can 2369 allow an increase in the concentrate's sale price (Couto et al., 2024).

2370

Bacteria can be removed in two ways. Since bacterial cells are lighter than microalgae
cells, the microalgae settle at the correct centrifugation speed, and the bacteria remain in
the water column. The other way is through cell disruption. Initially, increasing the

2374 centrifugation speed was believed to increase the concentration of bacteria that sediment 2375 together with the microalgae. N. oceanica is a microalgae known for having an extremely 2376 resistant cell wall, which allows it to withstand the application of high mechanical force 2377 (Bernaerts et al., 2019; Lemahieu et al., 2016). Bacteria, in turn, have a simplified 2378 prokaryotic structure, making them more susceptible to cell disruption (Jaiganesh and 2379 Kumar, 2012). Although it is not clear which speed causes the bacteria to break, this 2380 pattern can be easily observed in Figure 1 in the second graph of the first row, where the 2381 bacteria removal efficiency was greater at the extreme centrifugation speeds. A g-force 2382 of 2.000 to 2.417 causes a bigger accumulation of bacteria in the concentrates. Although 2383 the lower centrifugation speed generates a biomass with less bacteria, this speed is not 2384 recommended because there is a lot of biomass loss.

2385

2386 Process optimization is an important tool in the construction of protocols and products 2387 that are highly relevant in research and industry (Wijffels and Barbosa, 2010). Especially 2388 for the industry, it is necessary to ensure the viability of the process. Several studies have 2389 used the optimization methodology in the process of the microalgae production chain 2390 (Kumaran et al., 2017; Ryckebosch et al., 2012; Seyed Hosseini et al., 2018) enabling 2391 increased productivity of systems and extraction of compounds of interest. Despite the 2392 fact that the information obtained in these studies is essential to make this production 2393 chain viable, an extremely important step in optimization is missing: cost analysis. 2394 Although microalgae have great potential for application in the food, pharmaceutical, and 2395 nutraceutical industries, the cost of production and collection is still much higher than the 2396 cost of other raw materials (Borowitzka, 2013; Ruiz et al., 2016).

2397

2398 The PBA showed negative net benefit-cost when the current selling price was considered. 2399 The revenue estimated for this pricing condition did not cover the costs associated with 2400 the washing process. Thus, a new scenario was investigated by considering a 10% 2401 increase in the selling price (Table 5), which yielded positive net benefit-cost results. This 2402 increase in the selling price is easily justified by the increased shelf life of the new 2403 concentrate from 17 to 85 days, as demonstrated in a previous work (Couto et al., 2024) 2404 . The PBA is a tool for making financial decisions regarding the adoption of a process 2405 change (Engle, 2010). However, this analysis considered only experimental conditions,

and a complete economic feasibility analysis considering commercial conditions isrecommended to provide applicable insights to the industry.

2408

2409 **8.5 Conclusions**

The washing process was optimized to increase the efficiency rate of bacterial removal without affecting cell viability in *Nannochloropsis oceanica* concentrates. To achieve this, the concentrate needs to be centrifuged using a g-force of 3.405 at 24°C for 20 minutes five times. Although an optimization was made to make the process economically viable, the selling price of the concentrate should increase by 10%, which can be justified by the increase in shelf life.

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2506 9 DISCUSSÃO GERAL

2507 O presente estudo teve por objetivo otimizar a produção de concentrados da 2508 microalga Nannochloropsis oceanica, para isso, foram feitos três experimentos. No 2509 primeiro experimento foi estabelecida a relação entre a presença de bactérias e as 2510 concentrações de matéria orgânica (MO) e dimetilsulfeto (DMS) nos concentrados ao 2511 longo do tempo. Nos dois tratamentos avaliados, com e sem bactérias, houve um aumento 2512 da concentração de MO no decorrer do tempo. Porém, na presença de bactérias esses 2513 valores foram muito maiores, pois houve uma maior quantidade de MO produzida pelas 2514 próprias bactérias, além da MO que já era produzida pelas microalgas na forma de 2515 exopolissacarideos (EPS) e por compostos liberados devido à morte celular. Um desses 2516 compostos é o dimetilsulfonioproprianato (DMSP) que na presença de grupos de 2517 bactérias como as Roseobacter é utilizado como substrato para o seu metabolismo liberando para o ambiente DMS, um composto orgânico volátil (VOC) que apresenta um 2518 2519 cheiro desagradável de enxofre com baixo limiar, ou seja, é detectável mesmo em baixas 2520 concentrações (Miller & Belas, 2004; X.-H. Zhang et al., 2019). A presença do DMS 2521 diminuiu consideravelmente a vida útil dos concentrados pois conferiu um cheiro e 2522 aparência desagradável.

2523 Para diminuir a carga orgânica (bactérias e matéria orgânica dissolvida) nos 2524 concentrados foi utilizado o método de lavagem. Esse método consiste em diluir os 2525 concentrados em água do mar e fazer sucessivas centrifugações, onde entre cada 2526 centrifugação o sobrenadante é descartado. Embora o método tenha sido eficiente para 2527 esse propósito, o uso da centrífuga acrescenta um custo elevado ao processo de produção 2528 de concentrados. Por esse motivo, foi analisada uma forma alternativa de remoção, o 2529 skimmer, que apresenta um valor de instalação bem menor que o valor de uma centrífuga. 2530 No segundo experimento, além de comparar o uso do skimmer com a lavagem, foi testada 2531 a junção dos métodos e uma segunda forma de lavagem com menos repetições do 2532 processo visando diminuir o custo de operação do equipamento. No entanto, o uso do 2533 skimmer não foi eficiente nesse trabalho, diferente do que foi observado em trabalhos 2534 anteriores em cultivo de Nannochloropsis oceanica (Roselet et al., 2019) onde o skimmer 2535 diminuiu consideravelmente a concentração de matéria orgânica no cultivo. Por outro 2536 lado, foram encontrados bons resultados na combinação do uso do skimmer com a 2537 lavagem que apesar de ter apresentado as maiores concentrações de matéria orgânica, 2538 diminuiu consideravelmente a concentração de bactérias e DMS. Além disso, os

2539 resultados mostraram uma diferença entre os dois tratamentos com os métodos de 2540 lavagem à longo prazo. O tratamento com mais repetições foi significativamente mais 2541 eficiente que o tratamento com menos repetições. Assim, como a utilização do skimmer 2542 depende da utilização da lavagem e a lavagem feita nas condições ideais dispensa o uso 2543 do skimmer, no terceiro experimento foi feita uma otimização do método de lavagem 2544 visando aumentar a taxa de remoção das bactérias sem afetar a viabilidade das células e 2545 fazer uma análise de custo para viabilizar o processo. A análise de custo parcial (PBA) 2546 mostrou que o processo não era viável com o preço de venda estipulado. No entanto, 2547 como o processo aumenta a vida útil dos concentrados, um aumento no preço de venda é 2548 justificado. A partir desse aumento, uma otimização foi feita combinando as respostas de 2549 taxa de remoção de bactérias, viabilidade celular e relação benefício-custo. Repetir o 2550 processo 5 vezes em uma força g de 3,405 em 24°C por 20 minutos garante uma maior 2551 taxa de remoção de bactérias sem influenciar na viabilidade das células com uma boa 2552 relação de benefício-custo. Por fim, a combinação das respostas obtidas nos três 2553 experimentos garantiu um produto com uma vida útil mais longa a partir da identificação 2554 do problema, busca por soluções e de viabilizar um processo.

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2556

10 CONCLUSÕES GERAIS

Há uma relação direta entre a densidade bacteriana e a produção de matéria orgânica 2557 • 2558 dissolvida e DMS nos concentrados de Nannochloropsis oceanica que afetam 2559 diretamente as características sensoriais (cor e cheiro) e consequentemente a vida útil 2560 dos concentrados;

2561 Para garantir uma vida útil mais extensa dos concentrados é necessário diminuir a • 2562 concentração de bactérias garantindo que os concentrados possam permanecer por até 2563 8 dias em temperatura ambiente (23°C) e 85 dias em temperatura de refrigeração (4°C); 2564

- 2565 O skimmer não foi considerado eficiente como forma de diminuir a densidade • 2566 bacteriana e prolongar a vida útil dos concentrados;
- 2567 O processo de lavagem é uma ótima alternativa para diminuir o desenvolvimento de • 2568 bactérias que geram matéria orgânica e DMS nos concentrados;
- 2569 Para viabilizar o processo de lavagem foi necessário aumentar o valor de venda dos • 2570 concentrados em 10% com a justificativa do aumento da vida útil dos concentrados;

A lavagem foi otimizada para manter as células das microalgas viáveis, ter a melhor
 taxa de remoção de bactérias e a melhor relação benefício-custo. Para isso, o processo
 precisa ser repetido 5 vezes em 3,405 de força g na temperatura de 24°C por 20
 minutos.

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2576 11 TRABALHOS FUTUROS

Os resultados obtidos na presente tese apontaram para o efeito direto da presença das bactérias nas concentrações de DOM e DMS e o efeito da lavagem nessas bactérias, propõem-se estudos utilizando técnicas como a análise metagenômica e o *fish* para identificar os grupos de bactérias mais afetados pelo processo de lavagem e a sua relação direta com a presença de DOM e DMS ao longo do tempo de armazenamento dos concentrados.

Baseado nos resultados obtidos e na literatura que aponta para o efeito de DMS na predação das microalgas, novos estudos podem ser feitos para avaliar a utilização desses concentrados na aquicultura. Por exemplo, um estudo comparativo entre um concentrado sem lavagem e um concentrado que passou pelo processo de lavagem no cultivo de zooplânctons como *Brachionus plicatilis*.

Além disso, assim como a lavagem, o uso do *skimmer* também pode ser otimizado durante o cultivo das microalgas. Fatores como a frequência de uso e em que momento do cultivo o *skimmer* deve ser utilizado podem ser otimizados para obter um cultivo com menor concentração de DOM e DMS.

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